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Climate, landforms, and geology affect baseflow sources in a mountain catchment

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Key Points:

- In an average water year isotope patterns of baseflow reflected an elevational-dependent influence of precipitation and seasonal snowpack
- In a year with low snowpack, baseflow isotope patterns showed greater influence of water stored in colluvial deposits, such as earthflows
- As snowpacks decline, groundwater stored in colluvial deposits and bedrock will have greater influence on spatial patterns of baseflow

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Abstract

Baseflow is essential for stream ecosystems and human water uses, particularly in areas with Mediterranean climates. Yet the factors controlling the temporal and spatial variability of baseflow and its sources are poorly understood. Measurements of oxygen and hydrogen isotopic composition ($\delta^{18}\text{O}$ and $\delta^2\text{H}$) were used to evaluate controls on baseflow in the stream network of a 64 km² catchment in western Oregon. A total of 607 water samples was collected to contrast baseflow in a year of near average precipitation (2016) to a year with low winter snowpack and subsequent summer drought conditions (2015). Spatial autocorrelation structures and relationships between surface water isotopic signatures and geologic and topographic metrics throughout the network were determined using Spatial Stream Network models. Isotope values varied widely in space and between years, indicating disparate baseflow water sources. During average flow conditions, the spatial variation in $\delta^{18}\text{O}$ was primarily related to elevation, reflecting the influence of prior precipitation and input of water from snowmelt at higher elevation. In contrast, during drought conditions, the spatial variation in $\delta^{18}\text{O}$ was also related to terrain slope and roughness – proxies for local water storage in deep-seated earthflows and other Quaternary deposits. A prominent spring-fed tributary with high unit baseflow discharge illustrated the importance of subsurface water storage in porous volcanic bedrock. As drought increases in a warming climate, baseflow in mountain catchments may become more dependent on storage in geologic and geomorphic features.

1 Introduction

Baseflow is the primary source of water for streams during periods with little precipitation inputs. Spatial and temporal variability in baseflow determines water availability for aquatic ecosystems and for human uses (Alexander et al., 2007; Freeman et al., 2007; Meyer et al., 2001). Declining snowpack is expected to reduce summer baseflow for much of the western US and other mountain regions (Mote et al., 2018; Verfaillie et al., 2018). Yet, little is known about how such changes will affect spatial and temporal dynamics of baseflow.

Baseflow is influenced by subsurface characteristics and by the amount and form of precipitation inputs, which in turn are controlled by surface characteristics (i.e., elevational gradient). Soil depth and the permeability of geologic and geomorphic features control deep water storage (Bloomfield et al., 2009; Farvolden, 1963; Jefferson et al., 2006; Tague & Grant, 2004) and water transit times (Hale & McDonnell, 2016; McGuire et al., 2005; Pfister et al., 2017; Tetzlaff et al., 2009). For example, deep-seated earthflows and Quaternary deposits can store and release water on inter-annual time scales (Swanson & Swanson, 1977). Elevation gradient influence baseflow through orographic effects on precipitation and temperature, which increase precipitation amount as well as snowpack depth and duration at high elevation. In addition other topographic characteristics such as slope and drainage area are frequently correlated to baseflow dynamics and soil moisture (Beven & Kirkby, 1979; Price, 2011; Woods et al., 1997; Zimmer & Gannon, 2018). Despite widespread recognition of the influence of surface and subsurface factors on baseflow, few studies have attempted to disentangle the effects of surface topography and subsurface characteristics on baseflow dynamics.

The oxygen and hydrogen stable isotope chemistry of water can be used to study hydrologic flow paths by providing mass balance constraints on stream flow that isolate

different sources of water contributing to baseflow. For example, spatial variability in water isotopic composition can be used to distinguish water sources and identify physiographic controls on rainfall-runoff generation processes (Blumstock et al., 2015; Mountain et al., 2015; Nickolas et al., 2017; Ogrinc et al., 2008; Peralta-Tapia et al., 2015; Singh et al., 2016; Soulsby et al., 2000; Tetzlaff & Soulsby, 2008). Baseflow sources have been investigated using water stable isotopes in relatively large ($>1500 \text{ km}^2$), humid systems (Tetzlaff & Soulsby, 2008), and in small ($<10 \text{ km}^2$) alpine (Fischer et al., 2015; Zuecco et al., 2018), and temperate catchments (Singh et al., 2016). Although many studies have used spatial sampling of water stable isotopes to detect sources of baseflow, we are not aware of any studies using dense sampling of baseflow in mid-size ($50\text{-}100 \text{ km}^2$) catchments to understand baseflow response to climate variability.

Geostatistical models of stream networks (Peterson & Ver Hoef, 2010; Ver Hoef & Peterson, 2010) can leverage spatial sampling to create spatially explicit models of isotope signatures, called 'isoscapes' (Bowen, 2010; Bowen et al., 2007; Kendall & Coplen, 2001). These geostatistically-based isoscape models include autocovariance structures that account for stream-connected distances and network topology as well as landscape and network features, including volume and direction of flowing water. Thus, these models simultaneously describe, and quantitatively explain the drivers of, spatial pattern. Network geostatistical models have been applied to infer spatial controls on stream temperature (Isaak et al., 2010; Isaak et al., 2014; Steel et al., 2016), fish populations (Isaak et al., 2017), and $^{87}\text{Sr}/^{86}\text{Sr}$ ratios in streams (Brennan et al., 2016), but they have not been used to infer spatial controls on baseflow.

The goal of this study was to evaluate how elevational gradient and subsurface storage influence spatial patterns of baseflow during a year with an average snowpack and a drought year with little or no snowpack. We took advantage of detailed spatial data on

climate, topography, geology, and geomorphology in the HJ Andrews Experimental Forest, a 64 km² mountain catchment, to model the spatial structure of baseflow isotopic composition.

We expected that:

1) The spatial pattern of the isotopic composition of baseflow would be related elevational gradients during average flow conditions, because precipitation amount, precipitation type, and isotopic signature are controlled by orographic processes and this is reflected in the stream water, and

2) The spatial pattern of the isotopic composition of baseflow would be more strongly influenced by subsurface storage (i.e., groundwater and storage in low-slope, deep-soil areas) during drought flow conditions because the water stored in these areas and released to baseflow over prolonged dry periods will be a greater fraction of the total flow during dry conditions.

2 Study Area and Methods

This study was conducted in the H.J. Andrews Experimental Forest (hereafter “Andrews Forest”) located in the Western Cascades of Oregon (44.2°N, 122.25°W) (Figure 1). The 64 km² fifth-order Lookout Creek catchment has four tributaries: Cold, Lookout, Mack, and McRae Creeks (Figure 1). The climate is Mediterranean with wet winters and dry summers. More than 80% of precipitation falls between November and May, and streamflow is dominated by baseflow from July to September. Elevation ranges from 430 to over 1600 m.a.s.l. Annual precipitation varies from about 2,300 mm at low elevation to over 3,550 mm at the highest elevation. In most years snowpacks persist from mid-November to the end of June at elevations above 1,000 m, but snow rarely persists more than two weeks at lower elevations (Jennings & Jones, 2015; Jones & Perkins, 2010). Vegetation is coniferous forest dominated by Douglas-fir (*Pseudotsuga menziesii*) and western hemlock (*Tsuga heterophylla*) at lower elevation and by noble fir (*Abies procera*) and Pacific silver fir (*Abies amabilis*) at

upper elevations. The catchment is underlain by multiple rock types of volcanic origin (Figure 1). Ridges are underlain by Miocene lava flows, which have relatively high porosity, while lower elevation areas are underlain by ash flows, air fall tuffs, and alluvial tuffaceous sediments. Some areas consist of steep slopes with shallow soils, while others are associated with deep-seated, slow-moving earthflows and have relatively low-gradient slopes and high roughness (Swanson and James 1975) (Figure 1).

2.1 Sampling of Precipitation and Surface Water

Composite precipitation samples were collected between November 2014 and November 2016 at two meteorological stations (Figure 1). Samples were collected weekly at PRIMET (at 430 m, n=83) and at 3-week intervals at H15MET (at 922 m, n=30). The precipitation-sampling collectors meet IAEA recommendations to prevent evaporation (Groning et al., 2012; Nickolas et al., 2017). Precipitation samples included snow, which was collected in plastic bags and thawed at room temperature before isotopic analysis.

Surface water was sampled over the entire mainstream network of the Andrews Forest on three sampling campaigns during baseflow conditions: July–September, 2015, June 2016, and September 2016. Each campaign was completed within an 8-day period during which there was little streamflow variation (coefficient of variation in discharge at Lookout Creek was < 9%). Although in 2015 the samples in McRae Creek were collected over a single week, two months prior to samples in other locations, the isotopic composition of baseflow on a single sampling location in McRae Creek did not vary over the two-month period (range between -10.64‰ and -10.56‰ for $\delta^{18}\text{O}$; p-value of one-way ANOVA=0.3). A total of 607 grab samples was obtained: 168 samples in 2015, 238 samples in June 2016, and 201 samples in September 2016. In each campaign, samples were collected at ~ 100 meter intervals over a stream length of 24.9 km (2015), 39.7 km (June 2016), and 30.0 km (September 2016) in the 2nd to 5th order streams of Cold, Lookout, Mack, and McRae Creeks (Figure 1). Locations

were recorded using a Garmin 30x GPS unit (accuracy 3m). The stream distance surveyed in 2015 was shorter than in 2016 due to a more contracted network and logistical constraints in accessing some sites.

The three surface water sampling campaigns represent different hydrologic conditions, based on long-term flow records (Daly & McKee, 2016). The 2015 sampling period represented some of the lowest baseflow conditions on record, whereas the 2016 sampling periods represented near average early baseflow (June) and late baseflow (September) conditions. In 2015, mean monthly air temperature was up to 3.9 °C higher and precipitation and snow water equivalent (SWE) were lower than in 2016 (Figure 2). In the 2015 water year, mean daily discharge in Lookout Creek (USGS gauge No. 14161500) was the 7th lowest, whereas in 2016 it was the 19th lowest, in the 59-year record. Relative to the 59-year record of daily flows, daily streamflow in June and September was below the 5th percentile during the 2015 sampling (Kaylor et al., 2019) and below the 10th percentile during the sampling in September 2016, whereas daily streamflow during the sampling in June 2016 roughly corresponds to the 50th percentile for July 15 (Figure 2 C). Therefore, we refer to the June and September 2016 sampling period as “average” and the 2015 period as “drought” conditions. All precipitation and grab samples were collected in 20 mL borosilicate glass vials with conical inserts and capped without headspace in order to prevent isotopic fractionation. Samples were stored in dark, cool (<15°C) conditions and analyzed at the Watershed Processes Laboratory of Oregon State University.

2.2 Isotopic Analysis

Water stable isotopes were measured in a total of 607 stream water and 113 precipitation samples using a cavity ring down spectroscopy liquid and vapor isotopic measurement analyzer (Picarro L2130-*i*, Picarro Inc, CA). Two internal standards were used to develop calibration equations, and a third standard was used to assess accuracy. All the

standards were calibrated against the IAEA primary standards for Vienna Standard Mean Ocean Water (VSMOW; $\delta^{18}\text{O}=0.0\text{‰}$, $\delta^2\text{H}=0.0\text{‰}$), Standard Light Antarctic Precipitation (SLAP; $\delta^{18}\text{O}=-55.5\text{‰}$, $\delta^2\text{H}=-427.5\text{‰}$), and Greenland Ice Sheet Precipitation (GISP $\delta^{18}\text{O}=-24.76\text{‰}$, $\delta^2\text{H}=-189.5\text{‰}$). Precision was 0.21‰ and 0.03‰ for $\delta^2\text{H}$ and $\delta^{18}\text{O}$ based on the comparison of 49 duplicated samples. Assuming uncorrelated errors, the internal precision for deuterium excess, defined as $d\text{-excess} = \delta^2\text{H} - 8\delta^{18}\text{O}$ (Craig, 1961), was 0.22 ‰. The accuracy was $0.24 \pm 0.0045\text{‰}$ and $0.06 \pm 0.0008\text{‰}$ for $\delta^2\text{H}$ and $\delta^{18}\text{O}$ based on the comparison of 59 estimated values to a known internal standard.

Isotope values were reported in parts per thousand (‰) deviation relative to Vienna Standard Mean Ocean Water (VSMOW):

$$\delta = \left(\frac{R_s}{R_{std}} - 1 \right) \times 1000 \quad (\text{eq. 1})$$

where R_s and R_{std} are the isotope ratio ($^2\text{H}/\text{H}$ or $^{18}\text{O}/^{16}\text{O}$) in the samples and standard (VSMOW) respectively (Craig, 1961). Values for $\delta^2\text{H}$, $\delta^{18}\text{O}$, and d-excess in precipitation are presented in terms of mean mass weight isotopic composition denoted $\overline{\delta^{18}\text{O}_p}$, $\overline{\delta^2\text{H}_p}$, and $\overline{d\text{-excess}}$ where the overbar represents the precipitation amount weighting, to distinguish them from values for individual samples.

2.3 Data Analysis

2.3.1 Variability in Precipitation and Surface Water Isotopic Composition

We analyzed the temporal and spatial variability of $\delta^2\text{H}$, $\delta^{18}\text{O}$, and d-excess in precipitation and grab samples and compared the isotopic composition of precipitation to the isotopic composition of stream water. The average, variance, and lapse rates of the isotopic composition of baseflow ($\delta^{18}\text{O}$, $\delta^2\text{H}$, and d-excess) were computed for each sampled tributary and each sampling campaign, and used to characterize the spatial variability. The relative water contribution of three tributaries (Cold, Mack, and McRae Creeks) to the total baseflow

in Lookout Creek at their tributary junctions (Figure 1) was estimated assuming a two-component end-member mixing model. The stream mass balance at each tributary junction in the network was computed from two equations:

$$F_1(\delta^{18}\text{O}_1) + F_2(\delta^{18}\text{O}_2) = \delta^{18}\text{O}_i \quad (\text{eq. 2})$$

$$F_1 + F_2 = 1 \quad (\text{eq. 3})$$

where $\delta^{18}\text{O}_i$ is $\delta^{18}\text{O}$ for the sample downstream of two tributaries, $\delta^{18}\text{O}_1$ and $\delta^{18}\text{O}_2$ are the $\delta^{18}\text{O}$ values of tributaries 1 and 2, and F_1 and F_2 represent the fractions of the total flow downstream of the two tributaries. The uncertainty in the two-end member model was propagated (Genereux, 1998) and the calculations were repeated with $\delta^2\text{H}$ in place of $\delta^{18}\text{O}$. The error-weighted average of the fractional contribution obtained with both tracers is presented in the results.

2.3.2 Stream Network Models to Predict Isoscapes

We used Spatial Stream Network (SSN) models to estimate the relative importance of surface topography and subsurface storage on baseflow water sources and to predict baseflow isotopes at the network scale. An SSN model consists of a multiple linear regression model for the mean dependent variable ($\delta^{18}\text{O}$) and a model for the variance that accounts for spatial autocorrelation within the network. This model thus avoids bias related to spatial autocorrelation. We fitted models for each sampling campaign considering covariates that describe the influence of orographic effects on precipitation amount and snow storage (both measured by elevation) and the effects of subsurface storage (measured by geology, slope, and topographic roughness) (Table 1). Pairs of covariates that were correlated ($R > 0.6$) were not included in the same model (Table 1). In the models, elevation was included to represent the orographic effects on precipitation amount and form and the almost universal observation that precipitation becomes more depleted of heavy isotopes (^{18}O , ^2H) with increasing elevation (i.e., rainout effect) due to fractionation during Rayleigh (or Rayleigh like)

distillation, i.e., progressive isotopic depletion of precipitation as elevation and/or distance from the original vapor source increases (Araguas-Araguas et al., 2000; Dutton et al., 2005; Wassenaar et al., 2009; Williams & Rodoni, 1997; Yonge et al., 1989). Geologic characteristics (e.g., rock permeability) were used as an indication of potential storage. Models included the percentage of area underlain by lava, which likely has relatively high permeability (Saar & Manga, 2004) (Figure 1, Table 1) and ash-flow tuff, which weathers to low-permeability clays and is thus associated with deep-seated slow-moving earthflows (Taskey et al., 1978). Models also included topographic characteristics (slope and roughness). Slope angle and surface roughness are often used to indicate variability in soil depth (McKenzie & Ryan, 1999; Patton et al., 2018; Pelletier et al., 2016). Low slope and relatively high roughness are characteristic of deep, slow-moving earthflows in the Andrews Forest, which may store water for long periods (Swanson & Swanston, 1977).

The influence of covariates on the mean $\delta^{18}\text{O}$ in the five tributaries: Cold, upper Lookout (above Cold Creek), Lookout (below Cold Creek), Mack, and McRae Creeks was estimated within models that permitted slopes and intercepts to vary among the tributaries (hereafter, “estimation models”). In these models, for each covariate, we considered a model that assumed a common, fixed-effect slope but different fixed-effect intercepts for each tributary, and a model that allowed both the fixed-slope and fixed-effect intercept to vary among tributaries. These models thus allow for different relationships between the mean $\delta^{18}\text{O}$ and the covariates for each tributary. Models were developed to predict the mean $\delta^{18}\text{O}$ in the entire network including locations for which no samples were collected (hereafter, “prediction models”). These models included a single common slope and intercept throughout the stream network for each covariate as opposed to different slopes or intercepts by tributary because the prediction model includes tributaries that were not sampled. All models were fitted using an Andrews Forest stream network derived from LiDAR (Johnson

& Lienkaemper, 2016; Spies, 2016) corrected for topological errors (Peterson & Ver Hoef, 2014; Ver Hoef & Peterson, 2010). Each covariate (Table 1) was calculated for the contributing area for each of 1,600 reaches in the network.

All models were fitted using a two-step process to (1) describe the spatial autocorrelation structure of $\delta^{18}\text{O}$ and (2) to identify covariates that explain the variability in the network mean $\delta^{18}\text{O}$. In the first step, models with varying autocorrelation structures were fitted to identify the best structure of $\delta^{18}\text{O}$ including all covariates and allowing the slope and intercept for each covariate to vary by each tributary (estimation) or assuming a single slope and intercept per covariate for all tributaries (prediction). The spatial structure uses a moving average construction for autocorrelation based on the shortest distance along the stream network, allowing the spatial autocorrelation to propagate using spatial weights (upstream drainage area). We tested ‘tail-up’ (TU), Euclidean (EU), and both TU and EU distance models (Ver Hoef & Peterson, 2010). The TU places more weight on samples upstream, and therefore emphasizes the impact of upstream water inputs. The EU method is unbiased with respect to flow direction, and consequently emphasizes geographically local inputs. In the second step, models were fitted to predict the mean $\delta^{18}\text{O}$ with varying combinations of covariates (Table 1), using the best-fit autocorrelation structure from step 1. All models in steps 1 and 2 were fitted using maximum likelihood. Best-fit models were selected based on the lowest value of the Akaike’s Information Criterion (AIC) supplemented by AIC weights (Burnham & Anderson, 2002) (Table 2). Final estimates of parameters in the best-supported models were obtained using restricted maximum likelihood.

3 Results

3.1 Precipitation Isotopic Composition

Between November 2014 and November 2016 values of (precipitation-weighted) $\overline{\delta^{18}O_p}$ and $\overline{\delta^2H_p}$ were higher at PRIMET (the low elevation weather station) than at H15MET (the high elevation weather station) (Figure 2 D). At both locations, the mean $\overline{\delta^{18}O_p}$ and $\overline{\delta^2H_p}$ averaged over the 12 months prior to sampling was significantly higher for 2015 sampling than for 2016 (Figure 2 D). The distinctly drier and warmer conditions experienced in the 2014–2015 period resulted in less precipitation and a more enriched signal (i.e., higher ^{18}O and 2H). The isotopic composition of the precipitation in 2016 was similar to the means reported for the Andrews Forest for 2001 to 2003 (McGuire et al., 2005) and 2006 (Brooks et al., 2010). Precipitation d-excess between November 2014 and November 2016 ranged from 5‰ to 20.8‰. The (precipitation weighted) $\overline{d\text{-excess}}$ over this period was lower at PRIMET ($10.75 \pm 0.05\text{‰}$) than at H15MET ($11.39 \pm 0.08\text{‰}$, t-test, $p=0.00001$).

3.2 Variability in Summer Surface Water Isotopic composition

The isotopic signature of baseflow varied in space and time (Figure 3). Overall, surface water $\delta^{18}O$ varied from -11.78‰ to -10.4‰ and δ^2H from -80.6‰ to -71.49‰ (Figure 3). Streamflow $\delta^{18}O$ and δ^2H values were higher in 2015 (Figure 3 D) than in June 2016 (Figure 3 E) or September 2016 (Figure 3 F). The isotopic composition of streamflow also varied in space. Cold Creek had consistently lower $\delta^{18}O$ and δ^2H values compared to other high-elevation sites in Lookout and McRae Creeks (Figure 3 D-F and Figure 4).

Baseflow amount was not related to drainage area. The two-end member-mixing model indicated that Cold Creek contributed 60.3–72% of the Lookout Creek baseflow during average conditions (June and September 2016, Figure 3 B-C) and 88% of the baseflow during the drought (2015, Figure 3 A). In other words, Cold Creek (91.3 ha) delivered 15 times more

water per unit area during baseflow than the remainder of Lookout Creek above the confluence with Cold Creek (539.6 ha). In contrast, baseflow contributions from Mack Creek were roughly proportional to drainage area (Mack Creek is 33% of the drainage area of Lookout Creek at their confluence and contributed 21–25% of baseflow during average conditions and 43% of baseflow during the drought, Figure 3 A-C), whereas baseflow contributions from McRae Creek were relatively low (McRae Creek is 45% of the drainage area of Lookout Creek at their confluence and contributed only 5–13%) during average baseflow (Figure 3 B-C).

Values of $\delta^{18}\text{O}$, $\delta^2\text{H}$, and d-excess from stream samples indicate temporal and spatial differences in the relative importance of contributions to baseflow from recent precipitation versus water stored in the subsurface. During average conditions (June 2016 and September 2016), the average isotopic composition of baseflow was close to the annual $\overline{\delta^{18}\text{O}_p}$ values for all tributaries, except for Cold Creek (Figure 4 B-C). However, baseflow in all tributaries was more depleted than the annual $\overline{\delta^{18}\text{O}_p}$ in 2015 (Figure 4 A). The d-excess values for baseflow in 2016 were consistent with the annual $\overline{\text{d-excess}}$ of precipitation in McRae and Lookout Creeks (Figure 4 E-F). In 2015, however, d-excess values for baseflow were higher than the annual $\overline{\text{d-excess}}$ of precipitation in all tributaries (Figure 4 D). This result suggests that a large portion of baseflow in 2015 was derived from stored water rather than recent precipitation.

Spatial patterns of $\delta^{18}\text{O}$ and d-excess in baseflow capture differences in contributions of recent precipitation to baseflow (Figures 3 and 4). Values of $\delta^{18}\text{O}$ decreased significantly with elevation at Cold, Mack, McRae, and Lookout (including samples below Cold) Creeks during average conditions (June 2016 and September 2016) (Figure 5 C, E, and Table S1). In contrast, the relationships between $\delta^{18}\text{O}$ and elevation were weak for all tributaries during the

drought (2015), except for Lookout Creek (including samples below Cold Creek) (Figure 5 A). The variation of $\delta^2\text{H}$ with elevation is similar to that observed for $\delta^{18}\text{O}$ (Table S1). The mean $\delta^{18}\text{O}$ for Mack Creek, McRae Creek, and Lookout Creek above Cold Creek was close to the regional lapse rate of stream water in the Willamette River Basin (Brooks et al., 2012), but the mean $\delta^{18}\text{O}$ for Cold Creek and Lookout Creek downstream of Cold Creek plot below the regional lapse rate (Figure 6).

Mean d-excess values in 2015 at McRae Creek were lower, whereas those in Cold Creek were higher, than in other tributaries in both years (Figure 4 D-F). Values of d-excess increased significantly with elevation for most tributaries in both June and September 2016 (Figure 3 H-I and Figure 5 D, F), but d-excess was unrelated to elevation in 2015 (Figure 5 B). At McRae Creek, mean d-excess values were significantly lower in 2015 (11.5‰, Figure 4 D) than in June or September 2016 ($> 12.4\%$, Figure 4 E, F).

3.3 Spatial Stream Network Models to Estimate and Predict $\delta^{18}\text{O}$

3.3.1 Estimation models

The best-supported estimation (E) models explained 94 to 99% of the variation in $\delta^{18}\text{O}$ (Table 3). Covariates explained 66% to 83% of the variation, and the autocovariance structure explained 9.1% to 19% (Tables 2 and 3). In all models ($n=16$) for all sampling periods the ‘tail-up’ autocorrelation structure was the most appropriate to describe the relationship between $\delta^{18}\text{O}$ observations based on separation distance. The prevalence of the ‘tail-up’ autocorrelation structure is consistent with the predominantly exponential form of the empirical variograms for sampling dates in 2016 (data not shown), which indicates that the $\delta^{18}\text{O}$ at a given location depends on $\delta^{18}\text{O}$ upstream values at multiple lag distances.

Elevation was a covariate in the best supported estimation models for all sampling campaigns (Tables 2 and 3). During average conditions in June 2016, elevation was the only covariate: $\delta^{18}\text{O}$ was negatively related to elevation in the best-supported models that permitted

intercepts to vary by tributary, as well as those that permitted intercepts and slopes to vary by tributary (E1 and E2, Tables 2 and 3). In contrast, during drier conditions (2015 and September 2016), the second best supported model (E12) included elevation and roughness as covariates (Table 2). In the best-supported model for September 2016, $\delta^{18}\text{O}$ was negatively related to elevation for all tributaries, except for Lookout Creek upstream from Cold Creek, whereas in the best-supported model for 2015, $\delta^{18}\text{O}$ was negatively related to elevation only in Cold Creek and in Lookout Creek below Cold Creek (Figure 5).

3.3.2. Prediction models

The best-supported prediction (P) models explained 85 to 97% of variation in $\delta^{18}\text{O}$; 89 to 94% of the variance in these models was explained primarily by the autocorrelation structures (Table 3). In all models ($n=13$) for sampling periods in 2016 the ‘tail-up’ autocorrelation structure was identified as the most appropriate (Table 3). However, in models for 2015, Euclidian distance was also part of the best supported autocorrelation structure. This is consistent with the spherical shapes of the empirical semi-variograms for 2015 (data not shown) and indicates that the $\delta^{18}\text{O}$ at a given location in 2015 also depends on neighboring values at short lag distances. Because only a small proportion of the variation was explained by the covariates, multiple alternative combinations of covariates were present in the predictive models that were similarly well-supported (Table 2).

Catchment wide isoscapes for $\delta^{18}\text{O}$ created from the best-supported predictive models (Figure 7) highlight the distinct patterns of baseflow isotopic composition during the drought period (September 2015) compared to average flow conditions (June 2016). The values of $\delta^{18}\text{O}$ were significantly higher (more enriched) in most of the stream network in 2015 compared to 2016. In 2015, patches of relatively depleted baseflow are evident near deep-seated earthflows (Figure 1 and 7). Baseflow was also consistently depleted for both years in

Cold Creek and Lookout Creek downstream from Cold Creek. The standard error in the predictions increases away from the sampling points (Figure S1).

Allowing the mean of $\delta^{18}\text{O}$ (intercept) to change from tributary to tributary increased the amount of variation explained by the estimation models compared to the predictive models (Table 3). Nevertheless, similar covariates were included in the best-supported estimation and predictive models for each sampling period (Table 2) with similar predictions and estimations at the sampling locations (Figure S2).

4 Discussion

4.1 Conceptual Model of Baseflow Water Sources

These findings suggest a conceptual model of baseflow sources in response to climate variability (Figure 8). During years with average snowpack and average baseflow conditions, baseflow isotopic composition is strongly related to elevation, reflecting the influence of stored water derived from snowmelt (Figure 8 A). In contrast, during years with low snowpack and severe summer drought conditions, baseflow isotopic analysis suggests that more of the baseflow water originated from stored water derived from geomorphic features (e.g., deep-seated earthflows) rather than from recent precipitation (Figure 8 B). Water storage in high-elevation geologic features (i.e., porous lava flows) also influences baseflow isotopic composition (Figure 8 C).

In years with average precipitation and snowpack, elevation influences precipitation, snowpack, and, thereby, baseflow isotopic composition (Figure 8 A). In 2016, snowpack water equivalent approached 1,000 mm, precipitation and stream water isotopic composition were similar, d -excess was positively related to elevation, and elevation was a covariate in best-supported geostatistical models of baseflow isotopic composition. Baseflow isotopic signature is correlated with elevation because isotopic composition becomes progressively depleted of heavy isotopes (^{18}O and ^2H) with increasing elevation (Araguas-Araguas et al.,

2000; Fan et al., 2015; Peng et al., 2015; Vespasiano et al., 2015; Wassenaar et al., 2011).

Values of d-excess are frequently positively correlated to elevation in mountainous regions (Bershaw et al., 2012; Gonfiantini et al., 2001; Liotta et al., 2013). For example, winter precipitation in Canadian mountain sites with Pacific Ocean water sources, similar to the study site, have particularly high d-excess values (Froehlich et al., 2002).

In contrast, in years with low snowpack, geomorphic features such as deep earthflows influence the isotopic signature of baseflow (Figure 8 B). In 2015, snowpack water equivalent did not exceed 200 mm, precipitation and stream water isotopic composition were different, d-excess was not related to elevation, and slope and surface roughness were covariates in best-supported geostatistical models of baseflow isotopic composition. The lack of correlation in 2015 between elevation and $\delta^{18}\text{O}$ and $\delta^2\text{H}$ observed in all tributaries except Lookout Creek below Cold Creek indicates that the strength of these relationships is a function of precipitation amount and corroborates that precipitation amount and composition in 2015 were not important factors for summer baseflow isotopic values. Deviations from the expected expression of the rainout effect (i.e., decreasing $\delta^{18}\text{O}$ and $\delta^2\text{H}$ with elevation) in stream water have been reported in other catchments and attributed to the effects of atmospheric recycling and complex vapor sources (Bershaw et al., 2012; Lechler & Niemi, 2011), the effects of lower precipitation amount in catchments located within topographic rain shadows (Brooks et al., 2012), or inter-basin water contributions (Nickolas et al., 2017). The lack of correlation of d-excess with elevation in 2015 may be associated with competition between controls on d-excess, including evaporation of some fraction of rainfall as it fell, local moisture recycling (Camille et al., 2013), local evaporation, or the lack of the major snowmelt flux into streams (Merlivat & Jouzel, 1979) all of which were likely enhanced by the high mean temperature and relatively low precipitation of 2015 compared to the average year (2016).

The interpretations about differences in water sources between average and drought conditions were very clear in our case given that $\delta^{18}\text{O}$ and $\delta^2\text{H}$ were higher in 2015 precipitation compared to 2016 precipitation. Precipitation was more enriched in 2015 because of smaller fractionation rates due to the higher temperatures in this year, consistent with model simulations (Liu et al., 2014; Nusbaumer & Noone, 2018; Nusbaumer et al., 2017). This strong contrast in precipitation isotopes between years enhanced our ability to demonstrate that summer baseflow during the drought did not originate from the recent precipitation.

Geomorphic features such as earthflows may provide a source of baseflow in years with little snowpack and very low summer streamflow (Figure 8 B). The importance of geomorphic features such as deep-seated earthflows as baseflow sources is supported by the depleted isotopic composition of baseflow relative to precipitation in the drought year (2015), the lack of correlation between d-excess, $\delta^{18}\text{O}$, or $\delta^2\text{H}$ and elevation in most tributaries in 2015, and the inclusion of slope and roughness as covariates in best-supported SSN models for the drought year. Deep-seated earthflows mapped in the study area (Swanson & James, 1975) coincide with areas of relatively low spatial variation in isotopic values in 2015 (Figures 1 and 3 A). These findings imply that baseflow in 2015 was sourced from connected water stored within these deep-seated earthflows (Swanson & James, 1975). However, samples from this water (or tracer tests) would be needed to fully confirm this interpretation. Earthflows are characterized by relatively low slope and high surface roughness. Surface roughness can indicate soil thickness (Patton et al., 2018), which in turn is linked to shallow groundwater (Schulz et al., 2008), baseflow variability during drought conditions (Price et al., 2011), and groundwater supply (Tetzlaff & Soulsby, 2008). Other studies also demonstrate that hillslope characteristics (e.g., slope and roughness) influence baseflow (Jencso &

McGlynn, 2011; McGuire et al., 2005; Singh et al., 2016; Zimmer & Gannon, 2018), particularly during dry conditions (Heidbuchel et al., 2013).

Geologic features, such as porous lava flows, also influence baseflow isotopic composition (Figure 8 C). The ridge-capping materials are located on southern and eastern upper slopes (Figure 1) and are a mixture of aa lava flows, rounded gravels, and ash deposits (Swanson & Jones, 2002; Walker & MacLeod, 1991). The significantly depleted baseflow isotopic signatures and relatively high unit-area baseflow contributions at Cold Creek in both 2015 and 2016 imply that baseflow in Cold Creek is derived from stored water in these lava flows. The higher d-excess and more depleted (i.e., lower) $\delta^{18}\text{O}$ of Cold Creek compared to the precipitation in both 2015 and 2016 and compared to regional streamflow samples (Figure 6) also imply that baseflow may be sourced from snowmelt that formed at a higher elevation and lower temperature (Bershaw et al., 2012; Gonfiantini et al., 2001; Liotta et al., 2013). The study area is separated by faulting from the regional groundwater of the High Cascades (Ingebritsen et al., 1994), indicating that the groundwater source to Cold Creek is likely local. Other studies have also illustrated that springs emerging from lava flow deposits are an important source of baseflow (Fujimoto et al., 2016; Jefferson et al., 2006).

The SSN estimation models revealed the importance of covariates, such as elevation, slope angle, surface roughness, and geology, which in turn permitted interpretations of processes that contribute to the spatial and temporal patterns of baseflow isotopic conditions. In contrast, the SSN prediction models relied primarily on the autocorrelation structure and covariates were less important. This resulted in decreased model accuracy away from the sampled tributaries particularly in 2015, for which the best prediction model did not include any of the covariates.

4.2 Implications for Future Drought Conditions

Climate change in the Pacific Northwest is reducing snowpacks (Mote et al., 2016). This study showed that when a snowpack was present, sources of stored water to baseflow were concentrated at high elevation, but when snowpack was absent, sources of stored water to baseflow shifted to areas with porous lava flows, or areas with lower slope angles and higher surface roughness that were mapped as deep-seated earthflows. Thus, when there is little or no snowpack, spring fed systems become more important to summer baseflow. Groundwater-fed streams are relatively resilient to drought (Zimmer & Gannon, 2018), and regional groundwater systems in the High Cascades (east of the study area) might mediate streamflow in the face of greater climate variability (Mayer & Naman, 2011; Safeeq et al., 2014; Tague & Grant, 2009). Deep earthflows and associated deep flow path groundwater sources will become increasingly important to mediate drought impacts on stream networks as snowpacks decline under future climate conditions. Understanding the different sources and residence times of water contributing to baseflow will therefore be critical for effective water resource management under future climate conditions in mountain catchments worldwide.

5 Conclusions

Although surface topography, geology, and geomorphic features all influence contributions to summer baseflow, the importance of these factors differs with climate. Spatial patterns of precipitation strongly influence the contributions to baseflow during an average water year, but geomorphic features, especially deep earthflows, emerged as important controls on contributions to baseflow in a drought year with little or no snowpack. Geologic features, especially porous substrate, explained the contributions to baseflow as well as spatial patterns of unit-area discharge in both average and drought conditions. Spatially explicit models of the $\delta^{18}\text{O}$ isoscape illustrated how baseflow patterns may shift under conditions of very low snowpack, which may be more frequent in the future. Under

projected warming conditions with declining snowpack, baseflow discharge in mid-sized stream catchments will become increasingly reliant on the disproportionate contribution from sub-catchments with groundwater sources. In mountain landscapes with variable geologic and geomorphic features the sources of baseflow that sustain stream ecosystems vary greatly depending not only on recent precipitation contributions but also on the presences of quaternary deposits and porous volcanic bedrock.

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Table 1

Covariates Considered in the Spatial Stream Network Models

Covariate ^a	Scale of influence	Source	Description and range
Effects of surface topography on precipitation amount and on the rainout effect ^b			
Elevation (H)	Landscape	LiDAR ^c	Calculated based in the 1-m LiDAR (H) Range: 412-1230m
Effects of rock permeability on hydrologic flow paths			
Geology (lava, tmt)	Local		Area underlain by ridge-capping lava (lava) Ranges: 0 – 100%
	Local		Area underlain Ash-flow tuff (tmt) Ranges: 0 – 100%
Effects of topography on water storage			
Mean slope (S)	Local	LiDAR ^c	Calculated based in the 1-m LiDAR Range: 4 - 46 degrees
Mean roughness Index (R) ^d	Local	LiDAR ^c	This is a proxy for soil/sedimentary thickness. It is calculated as the standard deviation of the residual topography which is the difference between elevation and the mean elevation calculated over a 5-m window Range: 0.025- 0.26 degrees

^a Correlated variables were not considered simultaneously in the models: Area underlain by ridge-capping lava vs. ash-flow tuff ($R=-0.64$); slope vs, roughness ($R=0.66$), and area underlain by ridge-capping lava and elevation ($R=0.80$).

^b Rainout effect occurs due to fractionation during Rayleigh distillation with progressive isotopic depletion of precipitation as elevation and/or distance from the original vapor source increases (Araguas-Araguas et al., 2000; Dutton et al., 2005; Wassenaar et al., 2009; Williams & Rodoni, 1997; Yonge et al., 1989).

^c Spies (2016)

^d Cavalli et al. (2008)

Table 2

Comparison of Spatial Stream Network (SSN) Model support to predict (P) and estimate (E) $\delta^{18}\text{O}$ for each sampling campaign based on the Akaike Information Criteria (AIC), the change in the AIC relative to the best-supported model (Δi), and AIC weights (AIC_w) for the best-supported (i.e., with $\Delta i < 2$). All relationships between covariates and $\delta^{18}\text{O}$ were negative within the prediction models. Top two models in each campaign are in bold.

	No.	COVARIATES ^A					Np^B	SEPTEMBER 2015			JUNE 2016			SEPTEMBER 2016		
		H	S	R	Lava	tmt		AIC^c	Δ	AIC_w	AIC^c	Δ	AIC_w	AIC^c	Δ	AIC_w
E	1	3					10	-379.9	0.0	53%	-727.4	3.5	14%	-808.8	0.0	60%
E	2	2					5				-730.9	0.0	83%			
E	12	3	3				15	-378.4	1.6	25%				-806.7	2.2	20%
P	1						1	-199.0	0.0	26%						
P	2	1					2	-197.0	2.0	10%	-617.3	0.0	27%			
P	3			1				-197.1	1.92	10%						
P	4					1	2	-197.1	2.0	10%						
P	5		1				2	-197.6	1.4	13%				-633.0	1.3	18%
P	6			1			2	-197.0	2.0	9%						
P	7	1				1	3				-615.4	1.9	10%			
P	8	1	1				3				-615.9	1.4	13%	-634.3	0.0	33%
P	9	1		1			3				-616.6	0.7	19%			
P	12	1	1			1	4							-632.7	1.5	16%

^a Covariates included elevation (H), percent of contributing area underlain by lava flows (lava) or ash flow tuff (tmt), slope (S), and roughness (R); 1: models with the same intercept and slope of the relations between covariates and $\delta^{18}\text{O}$, 2: models with varying intercept by tributary, and 3: models with both intercept and slope varying by tributary.

^b Number of model parameters. In addition, SSN models include 3-7 parameters associated with the autocovariance construction (Ver Hoef & Peterson, 2010). A complete list of all the models considered is included in Table S2

Table 3

Parameter Estimates and Summary Statistics for the best supported Spatial Stream Network (SSN) Model to predict (P) $\delta^{18}O$ and for the best supported SSN model to estimate (E) $\delta^{18}O$ for each Sampling Campaign.

Model ^a	Covariate ^b	b (SE) ^c	CI 95% ^d	C _{vir} ^{2e}	RMSE ^f	% of variance ^g		
						Cov.	TU	Euc.
2015 E1	Trib*CO	-10.54 (1.62)	[-13.716,-7.37]	0.94	0.068	76.6	12.9	
	Trib*LO	0.373 (1.63)	[-2.822,3.568]					
	Trib*LU	-1.129 (1.929)	[-4.91,2.651]					
	Trib*MM	0.311 (1.69)	[-3.001,3.624]					
	Trib*MR	-0.025 (1.627)	[-3.214,3.163]					
	H:Trib*CO	-0.0011 (0.0016)	[-0.004,0.002]					
	H:Trib*LO	-0.0003 (0.0016)	[-0.003,0.003]					
	H:Trib*LU	0.0023 (0.0019)	[-0.001,0.006]					
	H:Trib*MR	0.0004 (0.0017)	[-0.003,0.004]					
June 2016 E2	Trib*CC	-11.08 (0.07)	[-11.227,-10.942]	0.96	0.046	65.6	19	
	Trib*LO	0.318 (0.049)	[0.222,0.413]					
	Trib*LU	0.777 (0.056)	[0.668,0.887]					
	Trib*MM	0.461 (0.049)	[0.364,0.558]					
	Trib*MR	0.666 (0.049)	[0.569,0.763]					
	H	-0.0005 (0.0001)	[-0.0007,-0.0004]					
Sep. 2016 E1	Trib*CO	-11.4 (0.14)	[-11.672,-11.121]	0.99	0.031	83.3	9.1	
	Trib*LO	0.818 (0.154)	[0.515,1.12]					
	Trib*LU	0.051 (0.272)	[-0.482,0.583]					
	Trib*MM	0.777 (0.163)	[0.458,1.095]					
	Trib*MR	1.024 (0.155)	[0.72,1.328]					
	H:Trib*CO	-0.0002 (0.0001)	[-0.0005,0]					
	H:Trib*LO	-0.0007 (0.0002)	[-0.001,-0.0004]					
	H:Trib*LU	0.0007 (0.0003)	[0.0001,0.0012]					
	H:Trib*MM	-0.0004 (0.0002)	[-0.0007,-0.0001]					
	H:Trib*MR	-0.0004 (0.0002)	[-0.0007,-0.0001]					
2015, P1	Int.	-10.86 (0.09)	[-11.038,-10.69]	0.85	0.11	0.0	53.75	35.87
June 2016, P2	Int.	-10.62 (0.15)	[-10.91,-10.335]	0.91	0.073	2.3	94.5	
	H	-0.0004 (0.0002)	[-0.001,0]					
Sep. 2016, P8	Int.	-10.62 (0.13)	[-10.87,-10.37]	0.97	0.043	5.5	91.4	
	H	-0.0003 (0.0001)	[-0.0006,0]					
	S	-0.0021 (0.0008)	[-0.004,-0.001]					

^a The numbers correspond to those in Table 3

^b Covariates: Int: intercept; S: slope; H: elevation; R: roughness; and tmt: Area underlain Ash-flow tuff. Trib*: indicates that the model considered variations in terms of the mean value (intercept) by tributary (CO: Cold Creek, LO: Lookout below Cold Creek; LU: Lookout above Cold Creek; MM: Mack Creek; and MR: McRae). H:Trib* indicates that the model considered slope variations by tributary.

- ^c Coefficient value and standard error in parenthesis
- ^d 95% Confidence intervals
- ^e Square correlation between the leave-one-out cross-validation prediction and observed $\delta^{18}\text{O}$.
- ^f Root mean square prediction error.
- ^g Variance explain by covariates and best-supported autocovariance structure (TU, tail-up; TD, tail-down) (Ver Hoef et al., 2014).

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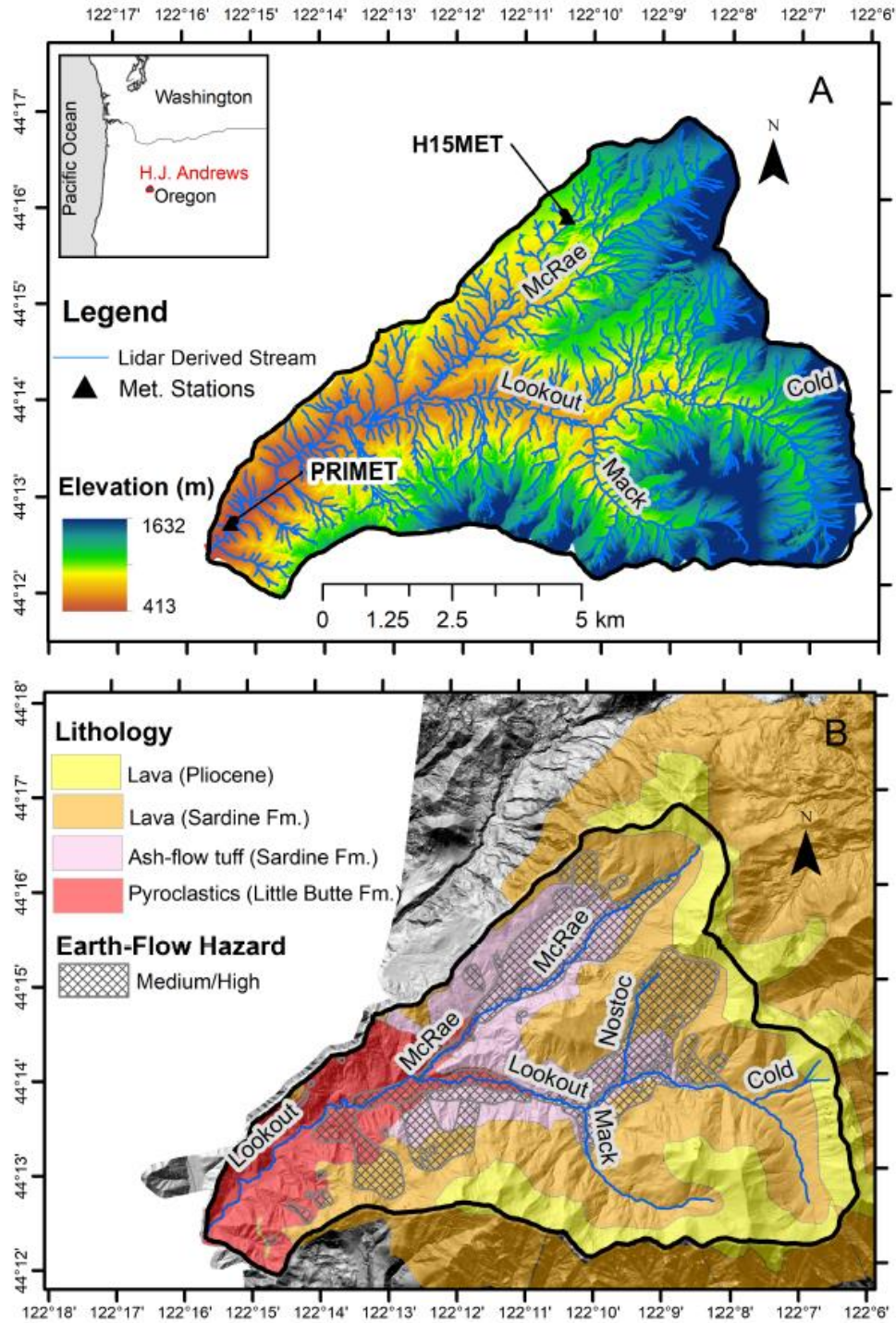


Figure 1: A: Elevation and B: geology (Swanson, 2005; Walker & MacLeod, 1991) in the Lookout Creek catchment in the Andrews Forest. Medium and high earth-flow hazard areas (Swanson, 2013) are indicated.

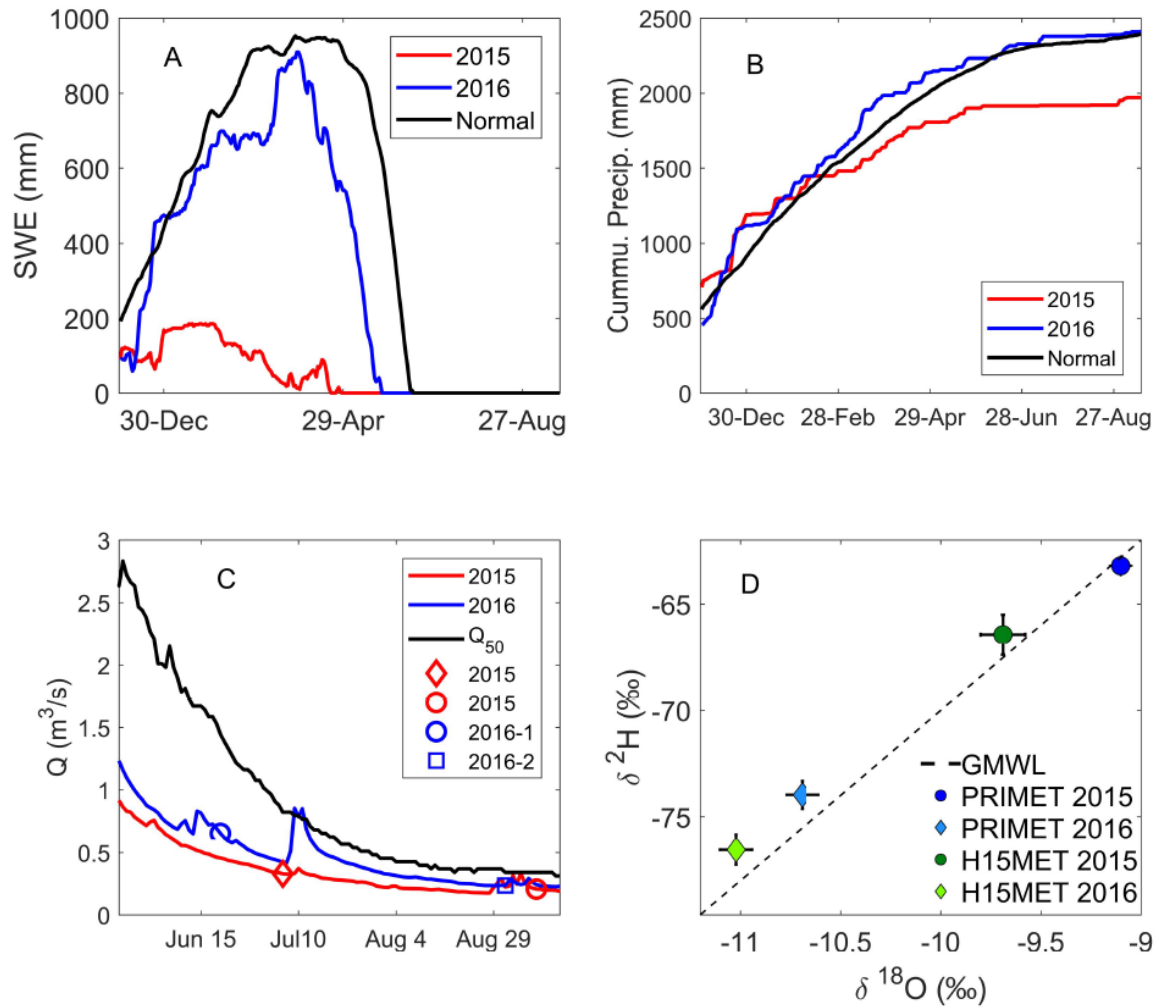


Figure 2: A and B: Snow water equivalent (SWE) and cumulative precipitation for 2015, 2016, and 30-year Normal at a nearby SNOTEL station (McKenzie). C: Daily discharge at Lookout Creek (USGS No. 14161500) in 2015 and 2016 and median discharge (Q_m) between 1949 and 2017. Sampling times are also indicated. D: volume-weighted mean $\delta^{18}O$ and δ^2H for 2015 (Nov-2014-Nov 2015) and 2016 (Nov 2015-Nov 2016) indicated significantly enriched (higher ^{18}O and 2H) precipitation values in the dryer and warmer Nov-2014-Nov 2015 period; error bars represent weighted standard errors.

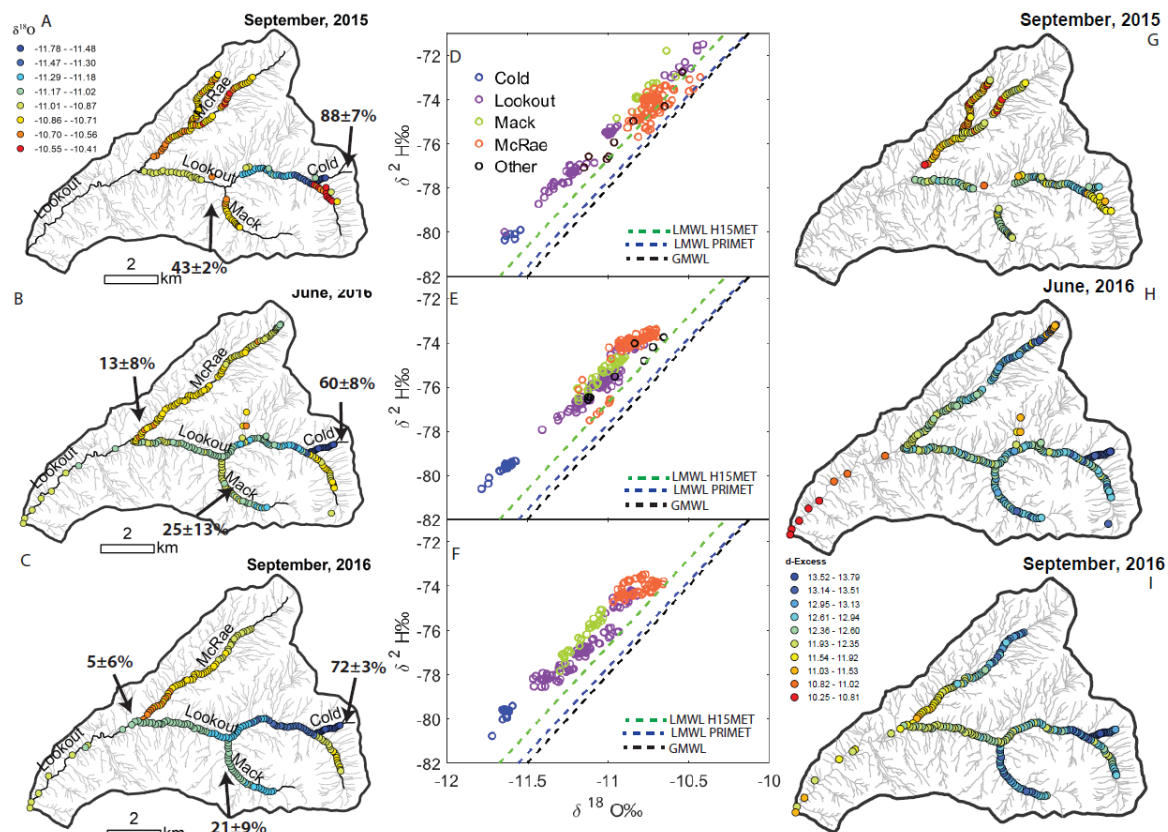


Figure 3: A-C: $\delta^{18}\text{O}$, D-E: $\delta^{18}\text{O}$ and $\delta^2\text{H}$, and G-I: Deuterium excess in grab samples collected during three synoptic sampling campaigns in September 2015 (A, D, and G) June 2016 (B, E, and H), and September 2016 (C, F, and I). The percentage in A-C indicates the water contributions from Cold, Mack, and McRae Creeks to Lookout Creek at their confluences calculated with the two end member-mixing model (equations 2 and 3).

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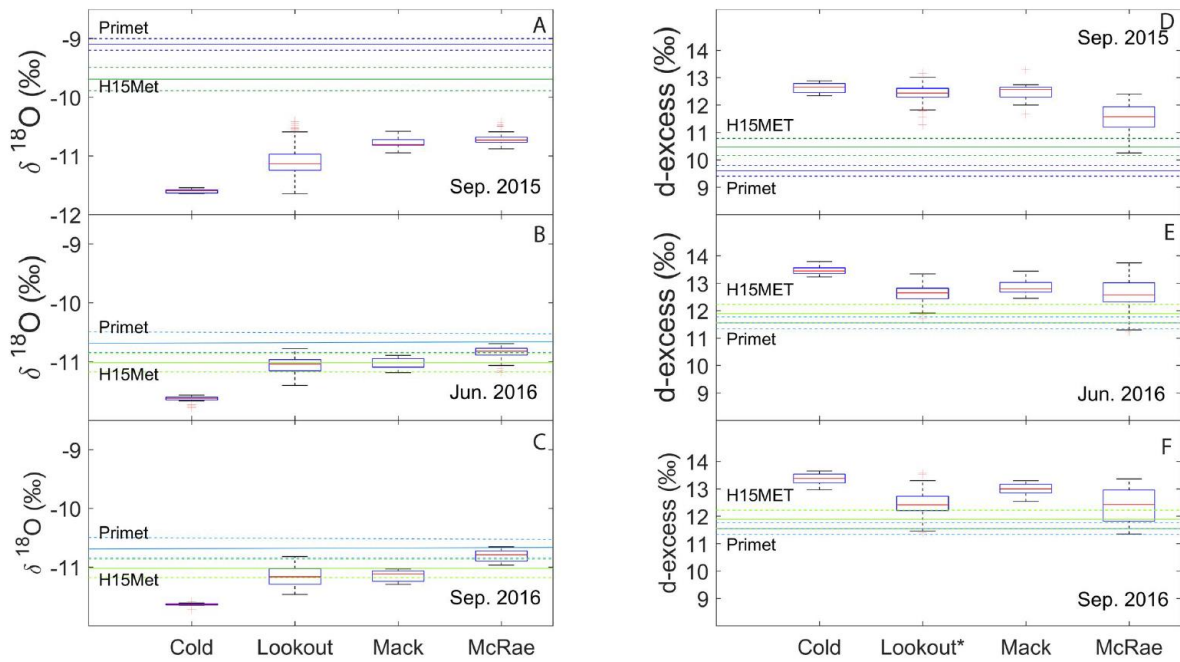


Figure 4: Distributions (boxplots) of $\delta^{18}\text{O}$ (A-C) and deuterium excess (D-F) by tributary in September 2015, June 2016, and September 2016 illustrate differences among tributaries and significantly more depleted (lower) $\delta^{18}\text{O}$ in all grab samples collected in September 2015 compare to the mean volume weighted $\delta^{18}\text{O}$ and d-excess for the precipitation at PRIMET and H15MET (solid blue and green lines). The 95% confidence interval bounds for the mean precipitation (dashed blue and green lines) are indicated. In 2016 (June and September) the $\delta^{18}\text{O}$ of all grab samples except those in Cold Creek all well bounded by the observed $\delta^{18}\text{O}$ in the precipitation.

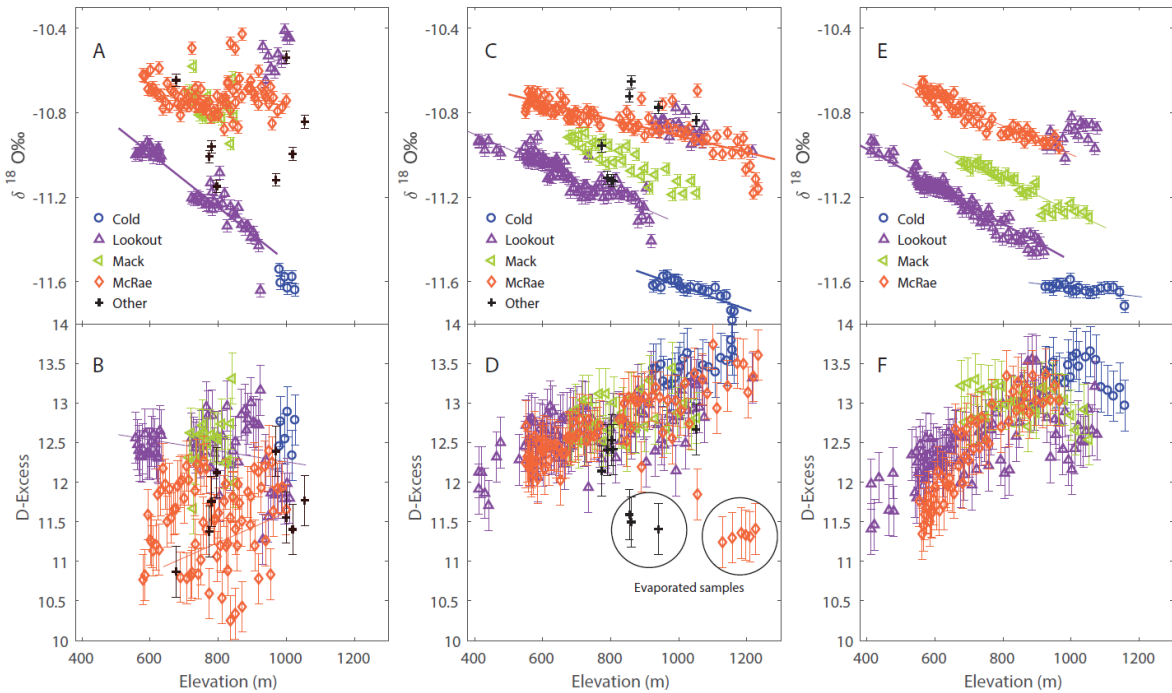


Figure 5: Relationship between elevation and $\delta^{18}\text{O}$ and deuterium excess (d-excess) by tributary and sampling campaign. A-B: September 2015, C-D: June, 2016, and E-F:

September 2016. Error bars represent internal precision based on comparison between

duplicates. The samples circled in D correspond to evaporated samples. Note the weak correlations with $\delta^{18}\text{O}$ for McRae and Mack Creeks in 2015 and for all tributaries with d-excess that year. In contrast, there are strong relationships with $\delta^{18}\text{O}$ and d-excess in 2016.

Significant regression lines for $\delta^{18}\text{O}$ lapse rates by tributary are included (see Table S1 for all lapse rate statistics). The samples in Lookout Creek upstream from the confluence with Cold Creek were excluded for the regression line calculation of Lookout Creek. Panel D includes 6 samples collected in the headwaters of McRae Creek and in 3 small tributaries to Lookout Creek that given their low d-excess appear to be influenced by evaporation.

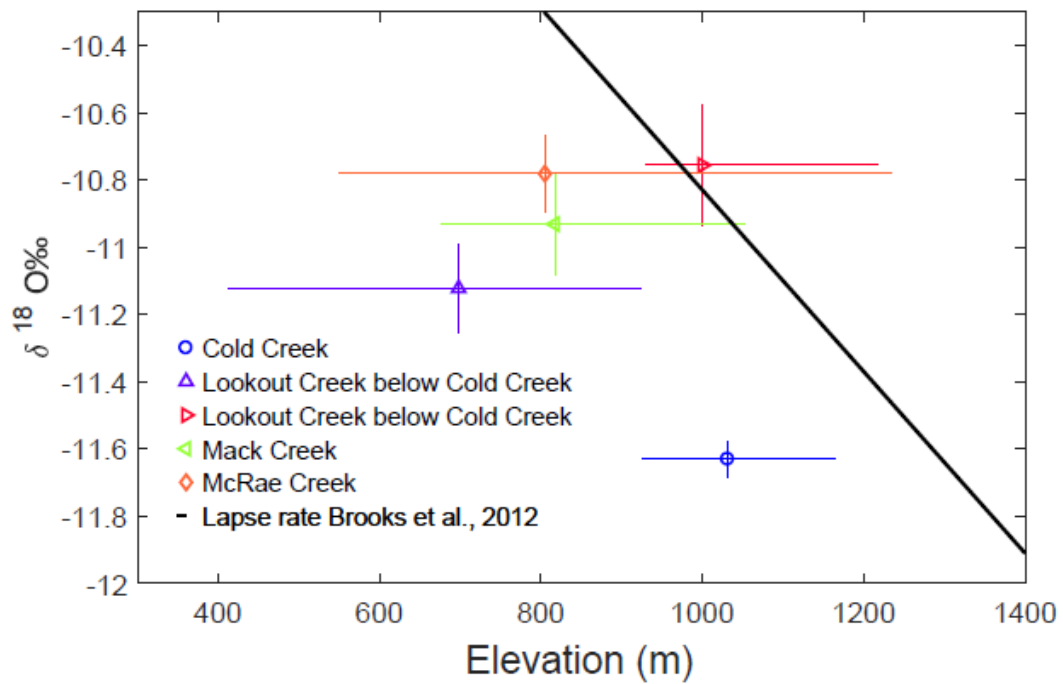


Figure 6: Comparison between the mean $\delta^{18}\text{O}$ per tributary and the regional stream isotopic lapse rate for the Willamette River Basin (Brooks et al., 2012). Cold Creek and Lookout Creek below the confluence with Cold Creek plot to the left of the lapse rate indicating a water source higher in elevation than the sampling locations. The horizontal lines depict the range of elevations sampled in each tributary across all samplings and vertical lines indicate the mean $\delta^{18}\text{O}$ +/- one standard deviation.

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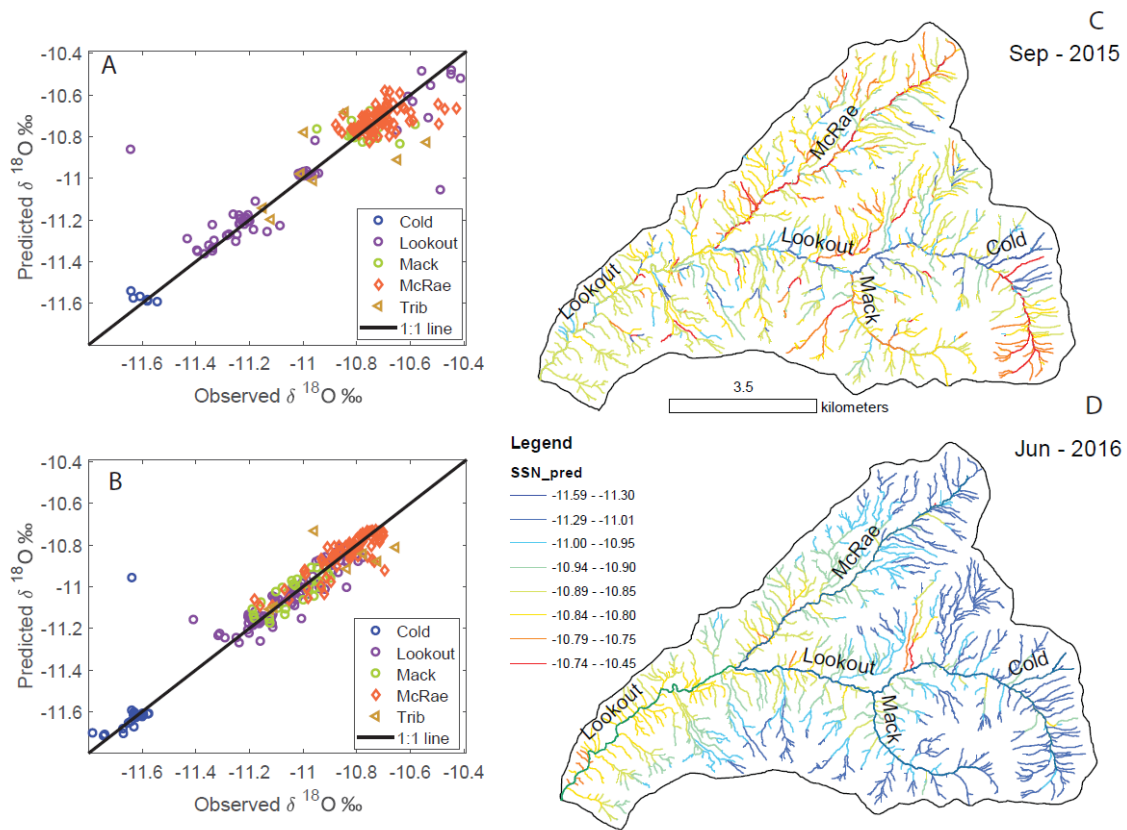


Figure 7: A-B: Spatial Stream Network (SSN) models for September 2015 and June 2016. Black lines indicate 1:1 relationship. C-D: Isoscape maps of $\delta^{18}\text{O}$ predicted by the SSN model fit to samples collected in 2015 and June 2016. Streamlines are colored by the predicted values. The model used in C was P1 and the model used in D was P2 (Table 3).

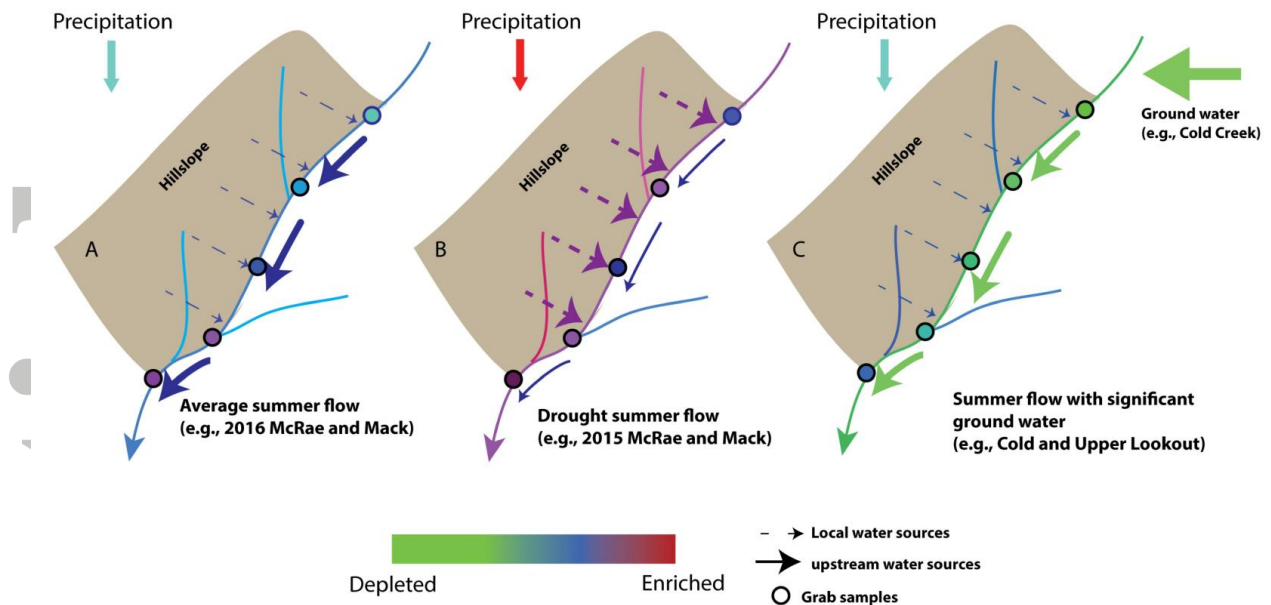


Figure 8: Conceptual diagram of water sources during average and drought conditions. Line thickness of the arrows represents the relative contribution of the source and the tint (from red to green) represents the value of $\delta^{18}\text{O}$. A represents a situation in which the effects of elevation on the precipitation amount and type are strong and thus streams dependent on local water sources. B represents the effects of subsurface storage, i.e., deep-seated earthflows (as indicated by low slope) on baseflow, and C represents a stream in which the effects of subsurface storage, i.e., lava flows (as indicated by geologic substrate) are strong and thus the sources of water appear to be independent of recent local precipitation producing the same patterns during average and drought conditions. The precipitation in drought conditions (B) is indicated as relatively more enriched than during average conditions because small fractionation rates are expected during a warm drought.