

PHYSICAL HYDROLOGY AND THE EFFECTS OF FOREST HARVESTING IN THE PACIFIC NORTHWEST: A REVIEW¹

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ABSTRACT: The Pacific Northwest encompasses a range of hydrologic regimes that can be broadly characterized as either coastal (where rain and rain on snow are dominant) or interior (where snowmelt is dominant). Forest harvesting generally increases the fraction of precipitation that is available to become streamflow, increases rates of snowmelt, and modifies the runoff pathways by which water flows to the stream channel. Harvesting may potentially decrease the magnitude of hyporheic exchange flow through increases in fine sediment and clogging of bed materials and through changes in channel morphology, although the ecological consequences of these changes are unclear. In small headwater catchments, forest harvesting generally increases annual runoff and peak flows and reduces the severity of low flows, but exceptions have been observed for each effect. Low flows appear to be more sensitive to transpiration from vegetation in the riparian zone than in the rest of the catchment. Although it appears that harvesting increased only the more frequent, geomorphically benign peak flows in several studies, in others the treatment effect increased with return period. Recovery to pre-harvest conditions appeared to occur within about 10 to 20 years in some coastal catchments but may take many decades in mountainous, snow dominated catchments.

(KEY TERMS: streamflow; forest harvesting; headwater; peak flow; low flow; water yield; small catchment; Pacific Northwest.)

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INTRODUCTION

The flows of water through a catchment influence a broad range of processes, including soil erosion, biogeochemical cycling, and in-channel sediment transport. Forestry operations such as harvesting and road

construction can have a significant impact on hydrology at the site, hillslope, and catchment scales. There is ongoing, vigorous debate surrounding these influences, and they need to be considered in relation to managing forest harvesting in small catchments (Jones and Grant, 1996; Thomas and Megahan, 1998; Beschta *et al.*, 2000). In terms of aquatic habitat, the key concerns relate to changes in summer low flows (Hicks *et al.*, 1991) and in peak flows and their effects on channel stability and sediment transport.

This paper reviews hydrologic processes relevant to watershed and riparian management around small stream channels, with a specific focus on forestry influences in the Pacific Northwest of North America. The literature on the hydrologic impacts of forestry is vast. To keep the task manageable, the review is focused as much as possible on streams with catchment areas less than 100 ha or less than 2 to 3 m wide within the Pacific Northwest (PNW) region, broadly defined to include northern California, Oregon, Washington, Idaho, all of British Columbia, and southeast Alaska. However, studies from outside the PNW region were referenced in cases for which they provided useful insights that were not available from local studies.

Many of the management concerns and related research can be considered at a range of scales from site to catchment, and many of the relevant processes may vary with geographic context. For example, processes that may be important in a low elevation, rainfall dominated coastal site may not be critical in a high elevation, snowmelt dominated interior location.

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These broader issues were considered in the review wherever possible.

HYDROLOGIC REGIMES IN THE PACIFIC NORTHWEST

The Pacific Northwest encompasses a variety of climatic and physiographic settings, giving rise to a range of hydrologic regimes (Ziemer and Lisle, 1998; Eaton *et al.*, 2002). A broad distinction may be made between coastal regimes (dominated by rain and rain on snow) and interior regimes (dominated by snowmelt). The coastal region includes those parts of Oregon and Washington west of the crest of the Cascade Range, and the coastal mountains and ranges of British Columbia and southeastern Alaska. The interior region includes eastern Oregon and Washington, Idaho, and the interior mountains and plateaus of British Columbia.

Climates of the coastal region are moderated by their maritime exposure, leading to a greater proportion of winter precipitation falling as rain, especially at lower elevations. The coastal region is also characterized by high precipitation and high unit stream discharges. Winter rain and rain-on-snow events generate most peak flows in coastal catchments that predominantly lie below the seasonal snow line (Harr, 1981; Grant, 1997). The importance of peak flows generated by spring-summer snowmelt increases with the proportion of catchment area lying above the seasonal snow line. However, rain-on-snow events can occur in most catchments, from low to high elevations in the coastal region, and even throughout the interior region (Moore, 1991; Wondzell and King, 2003). In coastal catchments, annual low flows tend to occur in late summer or early autumn, prior to the onset of the autumn-winter storm period. There are notable exceptions to these patterns, however. In catchments with more than a small percentage of glacial cover, high flows occasionally occur in late summer during periods of high glacial melt, sometimes augmented by late summer rain events (Moore, 1991). Also, in the high mountains of the Cascades and throughout the central and eastern portions of British Columbia's Coast Mountains, annual low flows can occur in winter, when most of the precipitation falls as snow.

In the interior region, winters are colder due to the continental climatic regime and higher basal elevation. Snow normally accumulates throughout the winter except in lower elevation areas of the Columbia and Fraser River basins in eastern Oregon, Washington, and British Columbia. Annual peak flows predominantly occur during snowmelt in spring or early summer. However, summer rainstorms occasionally

generate annual peak flows in the interior plateau of British Columbia (Moore, 1991). High mountain areas with greater snow accumulation tend to have a longer freshet period with a later peak flow. Low flows tend to occur between late summer and winter except for some catchments in the interior mountains of British Columbia with significant glacier cover, where glacial melt maintains flow during late summer.

Runoff generation depends on the geological context, in addition to climatic conditions. In plateau catchments of interior British Columbia, lakes and wetlands likely attenuate streamflow response to both individual events and the seasonal snowmelt, although no research appears to have examined this process quantitatively. Differences in the permeability of underlying bedrock can lead to dramatically different runoff responses as well. Volcanic parent materials of the western Oregon Cascades are relatively impermeable so that little regional ground water recharge occurs, leading to well-developed stream networks and high drainage densities (Grant, 1997; Tague and Grant, 2004). In contrast, the recent volcanic bedrock of the high Oregon Cascades is highly permeable, leading to low drainage densities and, in some cases, poorly developed stream networks. Permeable bedrock can attenuate streamflow responses to seasonal patterns in precipitation and snowmelt and support higher baseflows through late summer and early fall. Further, lower drainage densities lead to slower movement of water from uplands into the stream network and thus to lower unit area peak flows for a given return interval (Grant, 1997). In areas of permeable or fractured bedrock, water may leave some headwater catchments as subsurface flow and thus not show up as streamflow, while other streams may have their flow augmented by inputs of ground water from adjacent catchments.

FOREST INFLUENCES AT THE STAND SCALE

Rainfall Interception

Most rain falling onto a canopy will be intercepted by foliage, and some of that amount will be lost to the atmosphere by evaporation. The remainder will reach the ground primarily as throughfall, with a smaller amount becoming stemflow (Rothacher, 1963; Spittlehouse, 1998). Nearly all rain from small storms may be intercepted and lost, particularly from the dense canopies of old-growth forests, but the fraction of rainfall lost to evaporation decreases as storms increase in magnitude and intensity (Rothacher, 1963; Spittlehouse, 1998). At rain dominated sites, interception

loss is greater in summer than winter (Rothacher, 1963). Interception by a forest canopy not only reduces the total amount of water reaching the ground surface but also reduces peak throughfall intensities (Keim and Skaugset, 2003). On an annual or seasonal basis, interception loss from conifer forests in the Pacific Northwest generally represents about 10 to 30 percent of total rainfall, depending on canopy characteristics and climatic conditions (e.g., Rothacher, 1963; Sollins *et al.*, 1980; Beaudry and Sagar, 1995; Spittlehouse, 1998; Link *et al.*, 2004). Conversely, fog or clouds can be intercepted by trees, condense onto the canopy, and then drip to the forest floor. Fog and cloud drip may significantly augment precipitation in coastal catchments and in some high mountain catchments, and forest harvesting may actually reduce streamflow (Harr, 1982, 1983). In addition to effects on interception loss, removal of forests can reduce transpiration. As a consequence, soil moisture can remain higher through the summer growing season for several years after forest harvest (Adams *et al.*, 1991), potentially leading to increased streamflow and ground water recharge.

Snow Accumulation and Melt

Snow accumulation tends to be higher in openings than under forest canopies, with cut blocks typically accumulating about 30 percent to 50 percent more snow, although differences of over 100 percent have been recorded (Toews and Gluns, 1986; Troendle and King, 1987; Storck *et al.*, 2002; Winkler *et al.*, 2005). Differences in ground snow accumulation between forest and openings can be particularly marked in the transient snow zone in coastal sites (Beaudry and Golding, 1983; Berris and Harr, 1987). The cause of increased snow accumulation in openings is an important consideration for purposes of process-based modeling. Studies in the relatively dry interior climate of Colorado indicate that most of the increased accumulation in openings occurs during storms and that redistribution of intercepted snow between storms is not a dominant process (e.g., Wheeler, 1987). Further, comparisons of snow accumulation under forest cover with that in clearings at both the plot and catchment scales indicate that the increased accumulation in openings is at least partially if not dominantly due to a decrease in interception loss rather than preferential deposition (Troendle and Meiman, 1984; Troendle and King, 1985; Troendle and Meiman, 1986; Wheeler, 1987). On the other hand, cut blocks in the North Fork of Deadhorse Creek, Colorado, accumulated more snow than in the forest, while the peak snow accumulation averaged over the catchment did not change following harvesting (Troendle and King,

1987). The authors hypothesized that early ablation in south-facing openings may have offset the decrease in interception loss from the canopy. At a maritime study site in Oregon, Storck *et al.* (2002) found that seasonal losses by sublimation of intercepted snow were approximately 100 mm, compared to total winter precipitation of approximately 2 m (water equivalent). The bulk of intercepted snow reached the ground either as melt drip (70 percent) or by mass sliding (30 percent).

Removal of the forest canopy exposes the snow surface to greater incident solar radiation as well as to higher wind speeds, which can increase sensible and latent heat inputs (Berris and Harr, 1987; Adams *et al.*, 1998). As a result, snowmelt rates are typically 30 percent to over 100 percent higher in the open (e.g., Toews and Gluns, 1986; Berris and Harr, 1987; Winkler *et al.*, 2005). During the spring melt period, high snowmelt rates tend to occur during periods of clear, settled weather, governed more by the energy from solar radiation than by the contributions of sensible and latent heat (e.g., USACE, 1956). In contrast, solar radiation is low during mid-winter rain-on-snow events so that melt rates are typically governed by sensible heat transfer from the relatively warm air, condensation of water vapor onto the snowpack, and in some cases by the sensible heat of rainfall (Beaudry and Golding, 1983; Berris and Harr, 1987; Marks *et al.*, 1998). Under these conditions, snowmelt may significantly augment rainfall, increasing the magnitude of flood peaks (Harr, 1981, 1986). Snow held in the forest canopy usually melts faster than snow on the ground – either under the canopy or in a cut block – due to its greater wind exposure (Berris and Harr, 1987). However, if there is a significant snowpack on the ground, compared to the amount of snow held in the canopy, the effect of canopy melt is unlikely to be an important influence on peak flow response except for lower magnitude events because the ground level snowpack will dominate snowmelt response during higher magnitude storms (Harr, 1986).

Hydrologic Recovery

Hydrologic recovery refers to the decreasing impact of forest practices through time as a result of vegetation regrowth. Its rate and trajectory depend upon the rate of regrowth and the suite of species occupying the site. Few studies appear to have tracked hydrologic recovery at the site or stand scale. Adams *et al.* (1991) found that post-harvest increases in soil moisture content in the Oregon Cascades declined through time, and five years after logging, soil moisture storage at a logged site was 2 cm less than in a forested

site. The authors attributed the declining surplus and shift to a slight deficit to the rapid increase in plant growth, primarily by species including fireweed, vine maple, and snowbrush. Troendle and King (1985) found that the change in peak snowpack water equivalent due to harvesting in a Colorado catchment exhibited a weak negative trend with time ($R^2 = 0.1$; $p = 0.1$), and only a "minor" degree of recovery had occurred 28 years after harvesting.

Some studies examined hydrologic differences among stands of different ages and used a space-for-time substitution to infer rates of hydrologic recovery. Hudson (2000) developed nonlinear recovery curves for snow accumulation and ablation rates (using tree height as a predictor variable) at a site in south coastal British Columbia. Both accumulation and ablation recovered relatively rapidly, with 75 percent recovery associated with a tree height of 8 m. Winkler *et al.* (2005) found that mature spruce-fir forests in the interior of British Columbia had 20 to 30 percent less snow than in a clear-cut, while a 15-year-old juvenile pine stand had 14 percent less. The melt rate in a mature forest was 40 percent that in a clear-cut, while melt rates in a juvenile pine stand were 80 to 90 percent of the clear-cut rate. Melt began earlier in the juvenile stands and the clear-cut than in the mature forest. Working in Montana, Hardy and Hansen-Bristow (1990) used snow survey data from stands of different ages to derive nonlinear relations for both ablation rate and accumulation as functions of canopy cover. Although the relations reported by both Hudson (2000) and Hardy and Hansen-Bristow (1990) were highly statistically significant, they exhibited substantial scatter.

STORMFLOW GENERATION

Infiltration and Generation of Overland Flow

Undisturbed forest soils in the Pacific Northwest normally have sufficiently high hydraulic conductivities that infiltration capacities are rarely exceeded by rainfall and/or snowmelt intensities, thus minimizing production of infiltration excess (or Hortonian) overland flow (Cheng, 1988; Wondzell and King, 2003). Infiltration into the mineral soil is aided by the presence of vertical macropores fed by water flowing through the overlying organic horizons (de Vries and Chow, 1978). Loss of litter and organic soil layers change water storage properties and the rate at which water is delivered to the mineral soil. deVries and Chow (1978) found that removal of the organic horizons at an experimental soil plot (to simulate soil

disturbance by logging) apparently diminished infiltration via macropores. Rain splash, especially on recently disturbed soils, can detach fines that can clog soil pores.

At many sites, harvesting is conducted with skidders, tractors, or other ground-based equipment, which can cause compaction of the soil surface and to depths of 30 cm or more (Froehlich *et al.*, 1985; Cullen *et al.*, 1991), resulting in decreased hydraulic conductivity and soil infiltration capacity (Startsev and McNabb, 2000). However, even following such disturbance, soil infiltration capacities may remain high enough to prohibit generation of infiltration-excess overland flow (Cheng *et al.*, 1975). In contrast, excavated trails and constructed haul roads typically have compact surfaces with low permeability and can generate infiltration excess overland flow in even moderate rainstorms (Luce and Cundy, 1994). The significance of infiltration excess overland flow from compacted soil and roads depends on how much of the catchment area is disturbed, as well as whether the skid trails and roads direct water to the natural drainage network. For example, at the Coyote Creek catchments in the Oregon Cascades, yarding and slash removal caused similar amounts (12 to 13 percent) of soil compaction in catchments subject to harvesting by clear-cutting (CC3) and shelterwood cutting (CC1) (Harr *et al.*, 1979). However, much of the overland flow from tractor compacted soil in CC3 infiltrated before reaching a stream (Harr *et al.*, 1979, p. 16), possibly explaining (at least in part) the greater change in peak flows reported for CC1.

Hydrophobicity and reduced infiltration capacity can occur in situations in which mineral soil grains become coated with organic compounds. Many soils are naturally hydrophobic when dry, as has been documented for soils under interior ponderosa and lodgepole pine (Benavides-Solorio and MacDonald, 2001; Huffman *et al.*, 2001) and under subalpine forests at sites across southern British Columbia (Barrett and Slaymaker, 1989). Huffman *et al.* (2001) found that hydrophobicity was no longer apparent once moisture contents exceeded 12 percent to 25 percent. Forest fires may induce hydrophobicity by producing volatile organic gases that condense on mineral soil grains in cooler layers below the surface (Letey, 2001). These layers may persist for long periods in dry forest types (Dyrness, 1976), impeding infiltration and helping produce Hortonian overland flow, as has been documented, for example, in South Africa (Scott, 1993) and the Colorado Front Range (Huffman *et al.*, 2001). Henderson and Golding (1983), working in south coastal British Columbia, and McNabb *et al.* (1989), working in southwestern Oregon, found a higher tendency to water repellency in soils in clear-cut areas subjected to slashburning than in unburned clear-cuts

or old growth. McNabb *et al.* (1989) showed that infiltration rates recovered quickly after burning, and Henderson and Golding (1983) reported little evidence for widespread overland flow at the hillslope scale that could be attributed to fire induced repellency, although erosion was observed on one steep slope that had been slash burned two years earlier.

Saturation excess overland flow occurs when the water table rises to the soil surface, so that direct precipitation onto these saturated zones and return flow discharging from the subsurface move downslope as surface flow (Hewlett and Hibbert, 1967; Troendle, 1985; McGlynn, 2005). Few studies have examined saturation overland flow in the Pacific Northwest. Harr (1977) calculated that direct precipitation onto the channel and near stream saturated zones contributed only 2 to 4 percent of total storm quickflow in Watershed HJA10 in the Oregon Cascades, likely due to the high soil conductivities and the steep, slightly convex slopes – conditions that are not conducive to the development of saturated soils. This result is consistent with results from other steepland areas where saturated source areas were limited to narrow riparian corridors and were important for runoff generation only during drier periods, when most rain falling onto the hillslopes was retained to recharge soil moisture (e.g., Sidle *et al.*, 2000, 2001). Saturation excess overland flow is likely to be more important in rolling topography typical of the interior plateaus of British Columbia, although its importance in those settings has not been quantified.

Subsurface Stormflow

While it is generally acknowledged that subsurface stormflow is a dominant process in undisturbed forested catchments, there remain significant uncertainties regarding the mechanisms by which water flows downslope (McDonnell, 2003). Many studies have shown that where soils are shallow and the underlying till or bedrock is relatively impermeable, stormwater dominantly flows downslope through a saturated layer perched above the soil-till or soil-bedrock contact (Chamberlin, 1972; Megahan, 1972; Hetherington, 1982; Anderson *et al.*, 1997), promoted by high effective saturated hydraulic conductivities, typically ranging from 10^{-4} to 10^{-3} m/s (Megahan and Clayton, 1983; Cheng, 1988; Hutchinson and Moore, 2000; Kim *et al.*, 2004). These shallow saturated layers can respond relatively rapidly (i.e., within minutes to a few hours) to stormwater inputs (Chamberlin, 1972; Pierson, 1980; Sidle, 1984; Jackson and Cundy, 1992; Fannin *et al.*, 2000). Rapid response can occur via percolation through vertical macropores (Chamberlin, 1972; de Vries and Chow, 1978) and in

some cases by transmission of pressure waves through the vadose zone, which can propagate more rapidly through the soil profile than the actual velocity of infiltrating water (Torres *et al.*, 1998; Torres, 2002). Depending on the local geology, water exchanges may occur between the saturated soil layer and the underlying bedrock. Studies at Coos Bay in the Oregon Coast Range demonstrated that a significant amount of water can infiltrate from the soil into fractures in the underlying sedimentary bedrock, then re-emerge into the soil some distance downslope (Anderson *et al.*, 1997; Montgomery and Dietrich, 2002).

Forest operations can influence subsurface stormflow in several ways. Decreased interception loss would increase the amount of water infiltrating the soil, leading to higher water table levels during storms (Dhakal and Sidle, 2004). Logging related soil disturbance can also potentially influence subsurface stormflow through the closure of the entrances to vertical macropores, which would slow the percolation of water through the soil (de Vries and Chow, 1978). In addition, depending on the depth of soil compaction by skidders or other heavy, ground-based machinery, lateral hydraulic conductivity could be reduced by closing of preferential flow paths, possibly leading to slower downslope flow and decreased stormflow. However, no published research appears to have examined effects of compaction on lateral subsurface flow.

The influence of logging roads on catchment hydrology is a major focus of concern and debate (Luce and Wemple, 2001). Logging roads can intercept shallow subsurface flow and lead it via ditches and culverts more rapidly to the stream network, potentially leading to increased peak flows (Jones and Grant, 1996; Wemple and Jones, 2003). The significance of flow interception by roads depends on the fraction of subsurface flow intercepted as well as the proportion of intercepted flow conveyed directly to the stream network. The fraction of subsurface flow intercepted depends on soil depth, the “tightness” of the bedrock underlying the soil, the depth of the road cut/ditch surface, and the permeability of the material comprising the road bed. Essentially all of the net rainfall input to a hillslope segment in south coastal British Columbia was intercepted at a road cut where soils averaged 1 m in depth and were underlain by a compacted basal till (Hutchinson and Moore, 2000). In contrast, subsurface flow intercepted at a road cut during snowmelt in the Idaho batholith was about 30 to 40 percent of the total upslope water input, suggesting that more than half of the melt water flowed downslope below the road cut, through the bedrock (Megahan, 1972).

Wemple *et al.* (1996) identified two pathways linking the road network to stream channels: roadside

ditches draining directly to streams, and roadside ditches draining to culverts that feed water into incised gullies. In other cases, ditch flow may be diverted back onto the slope below a road by a drainage relief culvert, where it will infiltrate and flow downslope as subsurface flow. In the latter situation, the road may not increase the rate of transmission of water to the stream channel, but rather act to redistribute subsurface flow laterally across the slope.

Influence of Antecedent Soil Moisture and Soil Frost

Antecedent moisture status can exert a strong control on runoff response to rain or snowmelt. Under dry antecedent conditions, much of the rain and/or melt water inputs may be stored in the soil and not contribute to streamflow (e.g., Perkins, 1997; Sidle *et al.*, 2000). The reduction of transpiration following harvesting has often been cited as a cause of enhanced stormflow production through the associated increase in soil moisture during the summer growing season and early autumn (e.g., Harr *et al.*, 1975; Ziemer, 1981).

Soils in interior regions of the Pacific Northwest may freeze during winter, particularly if freezing conditions occur prior to significant snow accumulation. The occurrence and depth of soil frost are negatively associated with snow depth and thus with the factors that increase snow accumulation. For example, a study in Montana found that the depth of frost penetration was greater under forest than in clear-cuts and decreased with elevation (Saul and Potts, 1986). Similarly, a study in northern Sweden found that frost penetrated more deeply in shelterwood cuts than in a clear-cut (Lofvenius *et al.*, 2003). Studies in Quebec and Sweden have demonstrated that the occurrence of soil frost can vary dramatically within a catchment due to variations in soil properties, and from year to year, depending on weather conditions during the freezing period (Proulx and Stein, 1997; Nyberg *et al.*, 2001).

Although some research has examined the hydrologic effects of frozen soil in rangeland and agricultural catchments in the Pacific Northwest (e.g., Wilcox *et al.*, 1991; Schillinger and Wilkins, 1997), no studies appear to have examined these phenomena in forested catchments in the PNW. Studies in Europe and eastern Canada show that freezing of water within soil pores can reduce the soil's infiltrability, thus promoting the generation of infiltration excess overland flow (Stadler *et al.*, 1996; Proulx and Stein, 1997) and that overland flow can also occur where a relatively impermeable ice layer forms at the base of the snowpack (Price and Hendrie, 1983). The evidence for a catchment scale effect of soil frost is limited, but a

study in Sweden suggests that soil frost can influence catchment scale streamflow generation during the early stages of spring melt in cases where subfreezing conditions persist into the melt period (Laudon *et al.*, 2004).

Channel Routing

Forest operations can influence channel characteristics either directly (e.g., by removal of wood or loading of slash into the stream) or indirectly (via logging related debris flows). Several authors have suggested changes in channel routing as a potential driver of changes to peak flows. For example, Cheng *et al.* (1975) suggested that increased channel roughness due to debris loading within the stream could have contributed to the increase in time to peak they observed in south coastal British Columbia. Conversely, Jones and Grant (1996) and Thomas and Megahan (1998) suggested that smoothing of the channel at HJA3 by road related debris flows could have contributed to peak flow magnitude by decreasing travel time in the channel. However, no studies appear to have examined these influences quantitatively.

HYDROLOGY OF THE RIPARIAN ZONE

Much process-based research into small watershed hydrology has focused on the factors controlling hillslope runoff and the sources of stormflow. Within this context, the riparian zone has been conceptualized as a zone of transmission of ground water and hillslope water to the stream channel, as well as a deflector of precipitation and snowmelt when the riparian water table rises to the ground surface (Hewlett and Hibbert, 1967; Troendle, 1985; Burns *et al.*, 2001; McDonnell, 2003; Buttle *et al.*, 2004). However, between storms, and even during small storms with dry antecedent conditions, subsurface inputs from adjacent hillslopes are often minimal (Sidle *et al.*, 2000; McGlynn and McDonnell, 2003). At these times, two-way exchanges of water between the stream and the riparian aquifer, or hyporheic exchange, can become important.

The morphology of the valley floor and stream channel are primary controls on the flow of water through riparian aquifers (Harvey and Bencala, 1993; Wroblicky *et al.*, 1998; Kasahara and Wondzell, 2003; Wondzell, 2005). In steep, constrained headwater streams, valleys filled with coarse alluvium support shallow aquifers that slope steeply down valley with flow paths that parallel stream channels (Kasahara

and Wondzell, 2003). In these streams, most exchange flows between the stream and the aquifer are controlled by boulder caused or log caused steps in the longitudinal gradient (Kasahara and Wondzell, 2003; Anderson *et al.*, 2005), and the volume of sediment stored above these steps determines the extent of the hyporheic zone. Downwelling stream water seeps into the streambed upstream of steps and returns to the stream channel below steps, where it upwells through the streambed (Harvey and Bencala, 1993; Moore *et al.*, 2005). The steps in the longitudinal profile of the channel structure the flow net so that hyporheic exchange follows a nested series of flow paths between distinct zones of aquifer recharge (downwelling) and aquifer discharge (upwelling) (Gooseff *et al.*, 2005).

Few hyporheic studies have focused on unconstrained headwater streams in the Pacific Northwest. Consequently, the knowledge of hyporheic hydrology draws largely upon studies of larger, unconstrained streams. These studies have shown that subsurface flow paths can be complex in stream reaches with relatively wide and flat floodplains. In these reaches, exchange flows occur through meander bends and island bars, with stream water flowing into the bars through the streambed and bank on the upstream side, and returning to the stream channel on the downstream side (Vervier and Naiman, 1992; Kasahara and Wondzell, 2003). Exchange flows across gravel bars also support upwelling of stream water into floodplain springbrooks where abandoned channels are incised below the water table (Wondzell and Swanson, 1996a, 1999). Pool riffle sequences create downwelling zones above riffles and upwelling zones below riffles (Harvey and Bencala, 1993; Kasahara and Wondzell, 2003; Anderson *et al.*, 2005). Where these channel morphologic features are present in unconstrained headwater streams, it is expected they would result in patterns of hyporheic flow similar to those observed in studies of larger streams.

Transpiration by vegetation in the riparian zone may extract phreatic water from the riparian aquifer, producing a diurnal decrease in streamflow, followed by recovery at night (Dunford and Fletcher, 1947; Hewlett, 1982, p. 97; Bond *et al.*, 2002). Studies conducted in Australia and South Africa demonstrated that riparian vegetation is a more liberal user of water than vegetation in other parts of a catchment (Bren, 1997; Scott, 1999). During extended periods of low flow, sections of small streams dry up wherever stream discharge is insufficient to both maintain continuous surface flow and satisfy water losses through the bed and banks. Stream drying may occur frequently in the headmost portions of the channel network, interrupting connectivity. For example, Story *et al.* (2003) found that dewatering of an intermediate

segment of stream channel effectively decoupled a lower reach from the warming effects of harvesting and road construction on an upper reach of a small stream in the central interior of British Columbia. The broader biogeochemical and ecological implications of changing connectivity along the length of the stream do not appear to have been examined in forest streams.

Harvesting in the riparian zone can have a significant influence on riparian zone hydrology through its effect on transpiration and water table drawdown, potentially dampening diel fluctuations in discharge and increasing low flows (Dunford and Fletcher, 1947). These effects should decrease over time as riparian forests regrow. However, changes in species composition during forest succession can further impact low flow discharge. For example, in the Oregon Cascades, a change in riparian vegetation from conifers to deciduous species following clear-cut logging apparently resulted in increased transpiration and reduced dry weather streamflow (Hicks *et al.*, 1991). No previous studies appear to have examined the influence of riparian buffers on riparian hydrology, but the results of the studies cited above suggest that conifer dominated riparian buffers might reduce the likelihood that forest harvest and post-harvest compositional changes will influence low flow discharge.

Forestry related changes in channel morphology could substantially influence stream-aquifer interactions. Forest harvesting can reduce large wood recruitment rates to, and abundance in, streams, producing changes in channel morphology (Keller and Swanson, 1979; Bisson *et al.*, 1987; Andrus *et al.*, 1988; Carlson *et al.*, 1990; Hartman and Scrivener, 1990; Woodsmith and Buffington, 1996; Benda *et al.*, 2002). Forest harvest and related activities may also increase fine sediment delivery to channels (Beschta, 1978; Hartman *et al.*, 1996), and intrusion of fines into streambed sediment has been shown to restrict hyporheic exchange (e.g., Schalchli, 1992; Packman and MacKay, 2003). Forestry related changes in sediment supply, including episodic inputs of sediment in large storms and flood events, can lead to simplification of stream channels (Lisle, 1982; Lyons and Beschta, 1983; Ryan and Grant, 1991; Dose and Roper, 1994). Wondzell and Swanson (1999) demonstrated that channel incision and simplification of channel morphology during large floods can substantially lower water tables and reduce exchange flows of water between the stream and the riparian aquifer. Also, debris flows can scour channels to bedrock, eliminating the opportunity for hyporheic exchange (Johnson, 2004). Decreased abundance of large wood within the channel, decreased long term recruitment of large wood, and increased sediment inputs are all

associated with long term loss of pool volume, loss of pool step sequences, and simplification of stream channels.

Neither the effect of forest harvest nor the effect of riparian buffers on hyporheic exchange flows has been directly studied in headwater mountain streams. However, because channel morphology strongly controls hyporheic exchange, it is reasonable to expect that management activities that lead to losses in channel complexity would reduce interactions between the stream and the riparian aquifer, whereas efforts to minimize management impacts on channels, such as the retention of riparian buffers, would help preserve stream-aquifer interactions. The broader ecological implications of decreased stream-aquifer interactions are difficult to predict given the current state of knowledge. However, previous research suggests that they could lead to reduced nutrient cycling and therefore reductions in stream productivity (Wondzell and Swanson, 1996b). There could also be implications for stream temperature dynamics (Story *et al.*, 2003; Johnson, 2004; Moore *et al.*, 2005).

FOREST HARVESTING EFFECTS AT THE CATCHMENT SCALE

Research Approaches

Although many of the hydrologic effects of forest operations are reasonably well understood at the site or stand scale in general terms, it is difficult to make quantitative predictions of the effects at the catchment scale. The most statistically rigorous approach

to quantifying the effects of forest operations on streamflow is a paired catchment experiment, which includes at least one untreated control and both pre- and post-harvest data (Hewlett, 1982). Tables 1 through 4 summarize results from a number of paired catchment experiments within or relevant to the PNW region. The success of paired catchment experiments depends on how similar the control and treatment catchments are in terms of geology, soils, topography, and vegetation. A major problem in generalizing the results is that the treatment effect will depend on the details of the forest operations, catchment characteristics, the sequence of weather events recorded in the pre-treatment and post-treatment periods (especially the occurrence of rare, high magnitude events), and vegetation regrowth. A practical challenge is that conducting such experiments requires significant long term commitment of funds and effort.

An alternative to paired catchment experiments is to use existing operational streamflow data. Retrospective studies involve an after-the-fact pairing of unlogged catchments with logged catchments for which some pre-logging data exist (e.g., Cheng, 1989; McFarlane, 2001). These should yield valid results if the catchments are adjacent and are well matched in terms of topography and vegetation. A related approach is to conduct a chronosequence analysis in which trends in streamflow in a suite of watersheds with different management histories are correlated with changes in land use and/or climatic variables. These studies can also involve after-the-fact pairing to help separate the effects of land use change from climatic variability (e.g., Bowling *et al.*, 2000). However, these studies are generally less powerful than

TABLE 1. Summary of Paired Catchment Studies: Key for Treatment and Forest Type Codes.

Key for Treatment Types		Key for Forest Types	
RD-x	Road density (km/km ²)	ESSF	Engelmann spruce-subalpine fir
R-x	Road coverage (% catchment area)	LP	Lodgepole pine
SR-x	Skid road coverage (% of catchment area)	Al	Alder
CC-x	Clear-cut (% of catchment area)	MC	Mixed conifer
PC-x	Patch-cut (% of catchment area)	GF	Grand fir
SC-x/y	Shelterwood-cut (x % of catchment area/y % of basal area)	DF	Douglas-fir
VR-x	Variable retention (x% of canopy removed)	WH x-y	Western hemlock (age range from x to y in years)
TY-x	Tractor yarded over x% of catchment area	SG	Second growth
CY-x	Cable yarded over x% of catchment area		
BB-x	Broadcast burned over x% of catchment area		
TPB-x; CPB-x	Tractor piled and burned over x% of catchment area cable piled and burned over x% of catchment area		
CB	Cutblock		

TABLE 2. Summary of Paired Catchment Studies Conducted in the Oregon Cascades.

Catchment	Area (ha)	Elevation Range (m)	Forest Type	Treatment Type	Mean Change in Annual Yield	Change in Peak Flows (original analysis) (percent)	Change in Peak Flows (Jones, 2000)* (percent)	References
HJA1	96	441-1013	DF, WH 300-500	CC-100; CY-100; BB-100	410 mm	23**	25	Rothacher, 1973; Harr 1983; Harr, 1986); Hicks <i>et al.</i> , 1991; Jones and Grant, 1996; Thomas and Megahan, 1998; Beschta <i>et al.</i> , 2000
HJA3	101	490-1070	DF, WH 300-500	PC-25; CY-25; BB-25; R-6; RD-2.7	160 mm	17**	16	Rothacher, 1973; Harr, 1983; Hicks <i>et al.</i> , 1991; Jones and Grant, 1996; Thomas and Megahan, 1998; Beschta <i>et al.</i> , 2000
HJA6	13	863-1013	DF 120-130	CC-100; CY-90; TY-10; BB-100	380 mm (30%)	nsc	16	Harr <i>et al.</i> , 1982; Harr, 1983
HJA7	15.4	908-1097	DF 120-130	SC-100/60; CY-40; TY-60 BB-50***	200 mm (22%)	nsc	27	Harr <i>et al.</i> , 1982; Harr, 1983
HJA10	10.2	433-664	DF, WH 300-500	CC, CY-100	190 mm	-36 (snow peaks) 1 (rain peaks)	-8	Harr and McCorison, 1979; Harr, 1983, 1986
FC1	59	ca 840-1010	DF, WH 300-500	PC-25; CY-25; R; BB-25	nsc	nsc	13	Harr, 1980, 1983
FC3	71	ca 840-950	DF, WH 300-500	PC-25; CY-19; TY-6	nsc	nsc	13	Harr, 1980, 1983
CC1	69.2	750-1065	DF, MC 100-300	SC-100/50; TY-100; R-1.6	60 mm (8%)	32	10	Harr <i>et al.</i> , 1979; Harr, 1983
CC2	68.4	750-1065	DF, MC 100-300	PC-30; CY-16; TY-14; CPB-16; TPB-14	90 mm (14%)	13	36	Harr <i>et al.</i> , 1979; Harr, 1983
CC3	49.8	730-930	DF, MC 100-300	CC-100; CY-77; TY-23 CPB-77 TPB-23	290 mm (43%)	42	26	Harr <i>et al.</i> , 1979; Harr, 1983

*Events with return periods greater than 1 year (Table 6 in Jones, 2000).

**Values from Thomas and Megahan (1998) for first five years post-harvest, corresponding to return period of just under two years.

***Broadcast burned "only on lower half of watershed."

Notes: HJA = H.J. Andrews Experimental Forest, CC = Coyote Creek, FC = Fox Creek (Bull Run); nr = not reported; nsc = no significant change.

TABLE 3. Summary of Paired Catchment Studies in the Oregon Coast Range and South Coastal British Columbia.

Study Location	Catchment	Area (ha)	Elevation Range (m)	Forest Type	Treatment Type	Mean Change in Annual Yield	Change in Peak Flows (percent)	References
Alsea Watershed Oregon Coast Range	Needle Branch	70.8	135-485	A, DF 120	CC-82; CY-72; TY-10; BB-82; R-5	483 mm (26%)	nsc (roads) 20 (roads+ harvest)	Harr <i>et al.</i> , 1975; Harris, 1977; Harr, 1983
	Deer Creek	304	135-485	A, DF 120	PC-25; BB-8 R-4	nsc	nsc (roads) nsc (roads+ harvest)	Harr <i>et al.</i> , 1975; Harris, 1977; Harr, 1983
	Deer Creek (2)	55.8	135-485	A, DF 120	PC-30; CY-30; R-3	nr	nsc (roads) nsc (roads+ harvest)	Harr <i>et al.</i> , 1975
	Deer Creek (3)	40.5	135-485	A, DF 120	PC-65; CY-65; R-12	nr	18 (roads) 44 (roads+ harvest)	Harr <i>et al.</i> , 1975
	Deer Creek (4)	15.8	135-485	A, DF 120	CC-90; CY-90	nr	nsc	Harr <i>et al.</i> , 1975
UBC Malcolm Knapp Research Forest, B.C.	Watershed 1	23.1	145-455	WH, WRC, DF (SG)	CC-71; TY-71; SR-20	nr	-22	Cheng <i>et al.</i> , 1975
Carnation Creek, Vancouver Island, B.C.	Subbasin H	12	152-305	CWH	CC-90; BB-35 R-6.5	360 mm (14%)	20	Hetherington, 1982
Flume Creek, B.C.	F4	39	505-850	CWH	VR-82	nr	194	Hudson, 2001
	F5	61	505-850	CWH	SC-51 Canopy Removed	nr	123	Hudson, 2001

paired catchment designs. In addition, small catchments are poorly represented within the Water Survey of Canada and U.S. Geological Survey networks, thus minimizing the potential availability of data for retrospective analyses relevant to small streams.

A third approach is to use computer simulation models. In the Pacific Northwest, the Distributed Hydrology-Soil-Vegetation Model (DHSVM; Wigmosta *et al.*, 1994) and the Regional Hydro-Ecological Simulation System (RHESSys) (Tague and Band, 2001) have been applied to this purpose. These models can account for vertical exchanges within the canopy and soil column as well as lateral flow within the soil, including the effects of the road network on flow routing. A modeling approach is attractive in that alternative treatments can be applied to the same catchment (e.g., Tague and Band, 2001; Whitaker *et al.*, 2002). Although many model studies of forestry effects have

examined catchments on the order of 5 km² and larger (e.g., Bowling and Lettenmaier, 2001; Lamarche and Lettenmaier, 2001; Whitaker *et al.*, 2002; Thyer *et al.*, 2004; Beckers and Alila, 2004), at least two have focused on smaller catchments (Tague and Band, 2001; Waichler *et al.*, 2005).

Synchronization of Processes at the Catchment Scale

An important issue in understanding the hydrologic effects of forestry operations at the catchment scale is synchronization of processes. For example, in some situations the acceleration of snowmelt in clearcuts as compared to forests (e.g., Toews and Gluns, 1986; Winkler *et al.*, 2005) could desynchronize snowmelt over a catchment and reduce peak flows.

TABLE 4. Summary of Paired Catchment Studies in Snow Dominated Small Catchments.

Study Location	Catchment	Area (ha)	Elevation Range (m)	Forest Type	Treatment Type	Mean Change in Annual or Seasonal Yield	Change in Peak Flows (percent)	References
Wagon Wheel Gap, Colorado	B	81	2,818-3,338	MC	CC-100	25 mm (15%)	50 (max. daily)	Bates and Henry, 1928; Van Haveren, 1988
Colorado	Fool Creek	289	2,900-3,500	ESSF; 250-350	PC-40; R-5	115 mm (45%)	23	Alexander <i>et al.</i> , 1985; Troendle and King, 1985
East Side Rocky Mountains, Alberta	Cabin Creek	212	1,730-2,750	ESSF; Al; LP	CC-21 in 6 CB	nsc	nr	Swanson <i>et al.</i> , 1986
Rocky Mountains, Colorado	Deadhorse Creek	270	2,880-3,536	ESSF; LP	CC-10; R-3.4	nsc	nsc	Troendle and King, 1987
Rocky Mountains, Colorado	Deadhorse Subbasin North Fork	41	ca 2,880-3,536	LP	CC-36 (12x5H circular openings); R-3.1	60 mm	50	Troendle and King, 1987
North-Central Idaho	Horse Creek Subbasin 8	148	ca 1,314-1,725	GF	R-3.7; RD-2.82	nsc	nsc	King and Tennyson, 1984
	Horse Creek Subbasin 10	65.2	ca 1,314-1,725	GF	R-2.6; RD-2.11	nsc	nsc	King and Tennyson, 1984
	Horse Creek Subbasin 12	83.8	ca 1,314-1,725	GF	R-3.9; RD-1.9; PC-33; CY-33; BB-33	80%	(nsc) (max. inst.) 34 (max. daily)	King and Tennyson, 1984; King, 1989
	Horse Creek Subbasin 14	62.3	ca 1,314-1,725	GF	R-1.8; RD-1.46; PC-27; TY-27; BB-27	79%	35 (max. inst.) 50 (max. daily)	King and Tennyson, 1984; King, 1989
	Horse Creek Subbasin 16	28.3	ca 1,314-1,725	GF	R-3.0; RD-1.57; PC-21; TY-21; BB-21	51%	36 (max. inst.) 74 (max. daily)	King and Tennyson, 1984; King, 1989
	Horse Creek Subbasin 18	86.2	ca 1,314-1,725	GF	R-4.3; RD-2.13; PC-29; TY-29; BB-29	52%	34 (max. inst.) 87 (max. daily)	King and Tennyson, 1984; King, 1989

On the other hand, partial clear-cutting could synchronize snowmelt over a catchment in some situations and increase peak flows. For example, clear-cutting of north facing slopes or high elevation areas could advance the timing of melt from those areas and synchronize it with melt on forested south facing or lower elevation slopes. Verry *et al.* (1983)

demonstrated the effects on peak flows of desynchronizing and resynchronizing snowmelt following two logging passes in a Minnesota peatland catchment.

King and Tennyson (1984) suggested that subsurface flow interception by logging roads and ditches may desynchronize inputs from those parts of the catchment drained by the road network and the rest

of the catchment, but this effect has not been verified by field-based process studies. Conversely, Jones *et al.* (2000) suggested that roads could serve to better synchronize runoff and thereby increase the magnitude of peak flows. This issue clearly requires more detailed study.

Effects of Forest Management on Annual Water Yield

Reductions in forest cover generally increase annual water yield (Bosch and Hewlett, 1982; Trimble *et al.*, 1987; Stednick, 1996), and there has been some attention to the potential for using forest management to augment water supply in the Pacific Northwest (Harr, 1983). Although water yield is not a critical variable in relation to the habitat conditions in small streams (Hicks *et al.*, 1991), it is reviewed here in the interests of completeness. Tables 2 to 4 present the average change in water yield over the immediate post-harvest period to minimize potential confounding by hydrologic recovery. Where the post-harvest period was 10 years or less, the whole period was used in the average; for longer term studies, the changes were averaged for just the first five years.

In rain dominated catchments, clear-cutting and patch-cutting increased yields by up to 6 mm for each percentage of basin harvested, while selective cutting increased yields by up to about 3 mm for each percentage of basal area removed (Tables 2 to 4). Water yield decreased slightly following patch-cutting in two basins in the northern Oregon Cascades (Fox Creek 1 and 3), although the pre-logging and post-logging regression relations were not significantly different at a 5 percent significance level (Harr, 1980). This anomalous change in water yield likely resulted from a decrease in fog drip (Harr, 1982). Where seasonal

variations in water yield increases were studied, most of the increased yield occurred in the wet autumn-winter period (Harr, 1983; Keppeler and Ziemer, 1990).

Hydrologic recovery through time varied among studies, though not all had sufficiently long records to detect a recovery trend. At HJA1, the magnitude of the increased water yields decreased over several years but finally stabilized some 25 percent higher than expected, while annual yields for the 25 percent patch-cut HJA3 remained elevated for more than 20 years following harvesting (Hicks *et al.*, 1991). At the Coyote Creek catchments in the southern Oregon Cascades, effects of clear-cutting appeared to decline over the five-year post-harvest period (Harr *et al.*, 1979). An exponential model fitted to the data suggested that the effect would decrease by about 60 percent over the first 10 years and by 95 percent after 30 years.

Water yield increases have also been documented following harvesting in snow dominated catchments, ranging from 0.25 mm to over 3 mm per percentage of basin harvested (Van Haveren, 1988; King, 1989). At Fraser Experimental Forest, Colorado, water yield from Fool Creek averaged 40 percent higher than the long term expected flow and 45 percent higher for the first five years, even though the catchment averaged snowpack water equivalent increased by only 9 percent (Troendle and King, 1985). This disproportionate increase in water yield relative to snowpack likely resulted from the combined effect of increased snow accumulation and decreased transpiration (and thus soil moisture deficits) within the clearings. There was a weak negative trend to the water yield increases, suggesting that full recovery would require 80 years. At the Horse Creek catchments in Idaho, annual yields increased by about 3.6 mm per percentage of

TABLE 5. Effect of Forest Harvesting on Peak Flows, in Relation to Return Period, for the Coyote Creek Catchments, Oregon Cascades, Based on Pre-Harvest and Post-Harvest Regressions Presented by Harr *et al.* (1979).

T (year)	CC4 (l/s/ha)	Pre (l/s/ha)	CC1 Post (l/s/ha)	Percent Change	Pre (l/s/ha)	CC2 Post (l/s/ha)	Percent Change	Pre (l/s/ha)	CC3 Post (l/s/ha)	Percent Change
1.1	1.94	1.56	1.65	5.7	1.75	2.07	18.0	1.75	2.72	55.9
1.5	3.46	2.46	3.08	25.2	2.92	3.33	13.9	2.86	4.17	45.9
2	4.48	3.06	4.04	31.9	3.71	4.18	12.6	3.60	5.14	42.7
5	8.68	5.54	7.99	44.2	6.94	7.66	10.4	6.67	9.13	36.9
10	12.30	7.68	11.39	48.4	9.73	10.67	9.6	9.31	12.57	35.0

Notes: Annual peak flows for the control catchment (CC4) corresponding to different return periods were estimated from a frequency analysis for the entire study period (Figure 12 in Harr *et al.*, 1979). The pre-treatment and post-treatment discharges in the treatment catchments were estimated from the estimated control peak flows using the regression relations (Figure 8 in Harr *et al.*, 1979). The highest peak flows for CC4 exceeded 12 l/s/ha for both the pre-treatment and post-treatment periods.

catchment cleared when averaged over all catchments (King, 1989). Small increases in yield were reported at Cabin Creek (Swanson *et al.*, 1986) and Deadhorse Creek (Troendle and King, 1987) but were not statistically significant, likely due to low statistical power arising from a combination of small effect size associated with low harvesting rates and small post-harvest sample size.

The effect of roads alone on water yield has been little studied because harvesting usually occurred either at the same time as, or immediately following, road building. At the Horse Creek catchments in Idaho, water yield changes for road only treatments for two catchments were not statistically significant, but the test had low power due to the small sample sizes. Application of RHESSys to the HJA3 catchment suggested that slopes below roads are “starved” of water, leading to slightly lower evapotranspiration and higher water yields (Tague and Band, 2001).

Effects of Forest Management on Low Flows

Although many studies examined the effects of harvesting on low flows, quantitative comparison is difficult due to the use of different low flow metrics. These have included the number of low flow days each year (the number of days that daily discharge was less than some arbitrary threshold, which varied among studies), water yield during August (typically a period of low flows), and the total flow for months when the discharge from the control catchment was below an arbitrary threshold.

The majority of rain dominated catchments had less extreme low flows for at least the first few years after harvest, based on studies in northern California, the Oregon Cascades and Coast Range, and Vancouver Island (Harris, 1977; Harr *et al.*, 1982; Hetherington, 1982; Keppeler and Ziemer, 1990; Hicks *et al.*, 1991). The exception occurred at the Bull Run watershed in the northern Oregon Cascades, where patch-cutting 25 percent of the catchment area significantly increased the annual number of low flow days for Fox Creek 1 and 3; that is, dry weather flows decreased following harvesting, possibly caused by a reduction in fog drip (Harr, 1982).

Increased August water yields in HJA3 persisted for 16 years following patch-cutting but then appeared to return to predicted pre-logging levels (Hicks *et al.*, 1991). In contrast, August yields in HJA1 were higher than expected for the first eight years after logging, but then dropped below expected yields and remained low for the next 18 years. Hicks *et al.* (1991) hypothesized that differences between watersheds in post-harvest summer low

flows resulted from changes in the composition of riparian vegetation interacting with differences in valley floor morphology. Catchment HJA1 has a relatively wide valley floor that was colonized by hardwoods including red alder, a species believed to have higher stomatal conductance, and thus higher transpiration, than conifers. This enhanced transpiration from the riparian zone would reduce streamflow. Catchment HJA3, on the other hand, has a narrower valley floor, which was scoured by debris flows, leaving little soil for regeneration of deciduous vegetation. Moore *et al.* (2004) confirmed that evapotranspiration from alders was higher than from conifers in Catchment HJA1 but also showed that the sapwood basal area was greater in young forests than in the corresponding old growth forest stand. Further, their results showed that evapotranspiration was higher in young conifers than in old growth trees. All of these factors likely contributed to the reduced low flow discharge observed by Hicks *et al.* (1991).

At the Alsea Watershed Study, minimum late summer (August-September) flows were slightly higher than expected for the first five years after logging at Needle Branch, then slightly below expected for the next three years, while low flows at Flynn Creek were lower than expected for all eight post-logging years (Harris, 1977). However, these effects did not appear to be statistically significant. At Carnation Creek, minimum summer daily flows from subbasin H increased an average of 78 percent for the first two years post-harvest but showed no change for the third post-harvest year (Hetherington, 1982). At South Fork Caspar Creek in northern California, summer flow volume increased and the number of low flow days decreased, but this effect persisted for only about five to ten years (Keppeler and Ziemer, 1990). In fact, there was some indication that the treatment effect on summer flows had reversed about ten years after harvest, such that flows were lower than expected for pre-harvest conditions.

Low flow response to forestry operations appears to have been less studied in snow dominated catchments, possibly due to difficulty in accurately measuring winter flows due to ice formation in channels and on weirs. At Wagon Wheel Gap, Colorado, 100 percent clear-cutting increased baseflow recession flows by 17 percent but had no statistically significant effect on 30-day low flows (Van Haveren, 1988). At Cabin Creek, Alberta, clear-cutting 20 percent of the catchment area increased flows in August, September, and October by 10 to 15 percent, but the changes were not statistically significant (Swanson *et al.*, 1986).

Roads may influence low flows in small, headwater catchments by diverting subsurface flow laterally across hillslopes, particularly where the streams are weakly incised. The net effect would be an increase

in flows in some streams at the expense of others. However, this effect does not appear to have been documented by field measurements.

Effects of Forest Management on Peak Flows

Tables 2 to 4 summarize the magnitude of harvesting effects on peak flows. Values reported are the average increase over all storms studied or the effect size calculated for the mean peak flow for the control catchment during the post-harvest period. Table 2 also includes results of a reanalysis by Jones (2000) for catchments in the Oregon Cascades. Studies of rain and rain-on-snow dominated catchments analyzed peak flows from multiple storms in each year, often stratifying by event type or magnitude (e.g., Jones, 2000). Studies of snow dominated catchments analyzed annual peak flows.

Peak flows increased following forest harvesting in most studies in coastal catchments, with increases ranging from 13 percent to over 40 percent based on the original analyses (Tables 2 to 4). Peak flow change does not appear to be related in any simple way to the percentage of basin area cut or basal area removed. For example, 100 percent clear-cutting generated increased peak flows in HJA1 and CC3, but no statistically significant change was observed in HJA 6. In contrast to the dominant trend, Cheng *et al.* (1975) found a 22 percent decrease in peak flows and a several hour delay in time-to-peak following harvesting in south coastal British Columbia. In that study, skid roads covered 20 percent of the catchment area, and about another 30 percent of the catchment experienced soil disturbance. One hypothesis to explain this result is that extensive soil disturbance could have diminished the functioning of soil macropores, resulting in slower delivery of water to the channel. This case is an interesting contrast to CC1 at Coyote Creek, where relatively extensive soil disturbance increased the delivery of overland flow to the stream and was associated with increased peak flows (Harr *et al.*, 1979).

The case of HJA10 highlights the effects of rain-on-snow processes. Harr and McCorison (1979) found that peak flows from rain-on-snow events were 36 percent less than expected in the harvested basin, with an average delay of 12 hours compared to the control. The amount of snow stored in the forest canopy at the start of the rain-on-snow events appeared to explain these results. Canopy snow would have greater exposure to sensible and latent heat exchanges and thus melt more rapidly than snow on the ground in the clear-cut. Harr (1986) examined a longer series of post-treatment events from which

rain only events were excluded and which included only the larger events. Peak flows increased for events with substantial antecedent ground snowpack, whereas events with a smaller snowpack often showed a decrease. Hudson (2001) similarly found highly variable peak flow responses that could be related to the role of transient snow cover in two catchments in southern British Columbia. In Hudson's (2001) study, the mean treatment effect increased with the percentage of canopy removal.

Jones (2000) reanalyzed the peak flow data for the western Cascades experiments, taking advantage of the longer post-treatment datasets available for some catchments, and applied analysis of variance (ANOVA) rather than the more typical regression and analysis of covariance approach. The advantage in using ANOVA is that it allows for a better subdivision of the events by generating process. At the H.J. Andrews catchments, winter rain-on-snow peak flows increased by 25 to 31 percent in four of five catchments, while peak flows caused by rain and mixed events did not change significantly. Although the reanalysis for the large events (return periods > 1 year) yielded similar results to the original analyses in some cases (e.g., HJA1, HJA3), in others it produced quite different results (Table 2).

Lewis *et al.* (2001) did not provide separate estimates of treatment effects for the 10 subcatchments they studied within North Fork Caspar Creek. Instead, they applied linear models that incorporated all catchments in one analysis. They found that maximum peak flow increases were about 300 percent, but most were less than 100 percent. The size of the treatment effect increased with the fraction of basin cut and was negatively correlated with antecedent wetness, tending to be greatest in early autumn. The mean percentage increase in peak flow decreased with storm size. Variables related to the extent of compacted areas such as roads and skid trails were not statistically significant, although less than 7 percent of the catchments were covered by roads and landings. For a storm event with a two-year return period, peak flows increased on average by 27 percent in 100 percent clear-cut catchments.

Peak flows increased following harvesting in the snow dominated catchments for cases where more than 20 percent of the catchment was harvested. However, the responses are highly variable, and there is no clear correlation between the fraction of canopy removed and peak flow change. For example, peak flows increased by about 20 percent to almost 90 percent for catchments subject to harvesting of 20 percent to 40 percent of the catchment area (Troendle and King, 1985, 1987; King, 1989), while 100 percent clear-cutting produced a change of 50 percent (Van Haveren, 1988).

Effects of road construction did not appear to have a significant effect on peak flows in most of the cases that included a road only treatment (Harr *et al.*, 1975; Ziemer, 1981; King and Tennyson, 1984; Jones, 2000). One exception was the Deer Creek 3 catchment in the Alsea Watershed Study, where peak flows increased by 18 percent after road construction had affected 12 percent of the area (Harr *et al.*, 1975).

Estimates of post-treatment recovery rates varied among studies. Thomas and Megahan (1998) found that treatment effects decreased through time, persisting for more than 20 years on the clear-cut HJA1 catchment but for only 10 years on the patch-cut and roaded HJA3 catchment. The slower recovery of HJA1 was likely due to the slower regeneration of conifers, compared to HJA3 (Jones and Grant, 1996). For the Cascades basins, Jones (2000) reported recovery times of at least 10 years in all cases where there was a significant treatment effect and at least 30 years in two cases (HJA1 and HJA3). Lewis *et al.* (2001) found that recovery to pre-harvest conditions proceeded at a rate of approximately 8 percent per year. At the snow dominated Fool Creek, there was no clear evidence for peak flow recovery over a 28-year post-treatment period.

Changes in Peak Flows in Relation to Event Magnitude

The significance of increased peak flows in terms of channel morphology, stream ecology, and implications for structural design may be greatest for infrequent, high magnitude events. In coastal catchments, the magnitude of peak flow increases declined with increasing event magnitude in most cases, with the greatest increases typically associated with autumn rain events on relatively dry catchments (Rothacher, 1973; Harr *et al.*, 1975; Ziemer, 1981; Lewis *et al.*, 2001). These autumn events produced peak flows up to 300 percent greater than predicted for no treatment conditions but still resulted in small peak flows with little hydraulic consequence (Ziemer, 1981). In a snow dominated catchment, Troendle and King (1985) found that the absolute peak flow increase was positively correlated with April 1 snowpack water equivalent and would presumably be positively correlated with the magnitude of peak flows during snowmelt. However, they did not examine how relative (percentage) increases changed with event magnitude.

Thomas and Megahan (1998) and Beschta *et al.* (2000) appear to have conducted the only analyses that explicitly related changes in peak flow response to return period. Such relations would be valuable in assessing the broader significance of harvesting effects on peak flows. Of the other papers reviewed

here, only two (Harr *et al.*, 1979; Troendle and King, 1985) provided enough information for such an analysis to be attempted after the fact. For the case of Fool Creek (Troendle and King, 1985), the relative change in annual peak flow as a result of treatment was plotted against the estimated return period for the corresponding peak flow in the control catchment (Figure 1). Return periods were estimated from ranked peak flows for the control catchment (East St. Louis Creek) during the post-treatment period, using the equation $T = (n+1)/r$, where n is sample size and r is rank. The mean increase was 45 percent for events with T less than two years, 25 percent for events with T between two and five years, and 10 percent for events with T exceeding five years.

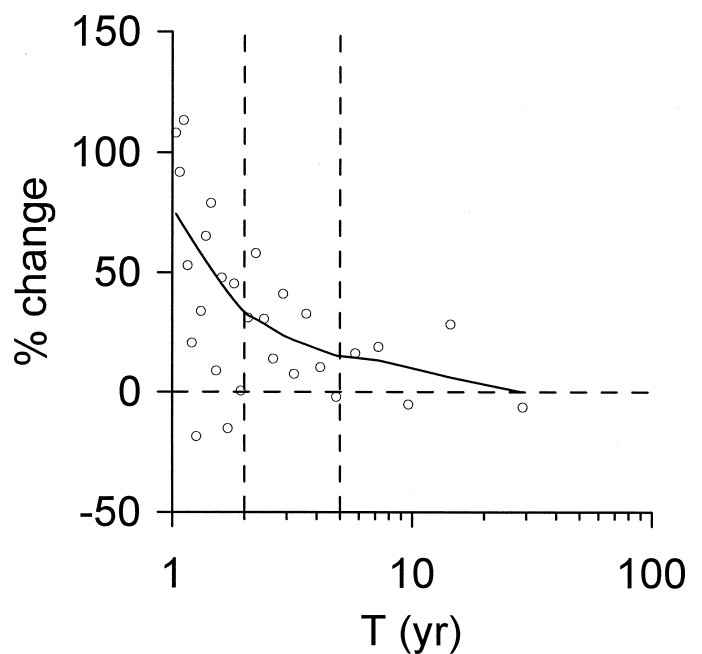


Figure 1. Analysis of Fool Creek Post-Logging Peak Flow Data. Data were extracted from Table 2 in Troendle and King (1985). Return periods were estimated from ranked peak flows for the control catchment (East St. Louis Creek) during the post-treatment period, using the equation $T = (n+1)/r$, where n = sample size and r = rank.

For the case of Coyote Creek (Harr *et al.*, 1979), the pre-harvest and post-harvest regression relations can be used to estimate the treatment effect as a function of return period (computed for the control catchment peak flows). As shown in Table 5, peak flows tended to decrease with return period in the clear-cut catchment, CC3, but these effects remained sizable for even the largest events reported ($T = 10$ years). Treatment effects actually increased with event magnitude in the shelterwood-cut catchment, CC1, for events ranging from $T = 1.1$ year to $T = 10$ years.

For the Horse Creek subbasins in Idaho, the pre-logging and post-logging regression lines converged at higher flows for two of the subbasins, indicating that the treatment effect decreased with event magnitude (King, 1989). For the other two, the regression lines diverged, and for one of them, the regression lines indicate an increasing treatment effect with event magnitude. There was no obvious association between catchment or treatment characteristics and the divergence or convergence of the regression lines.

DISCUSSION AND CONCLUSIONS

Summary of Forest Harvesting Effects on Streamflow

Hydrologic effects of forest harvesting and logging roads at the site, stand, or hillslope scale are reasonably well understood at a qualitative level. However, a lack of appropriate tools and concepts has limited the ability to make quantitative extrapolations to catchment scale response, particularly in relation to the effects of roads. Physically-based models like DHSVM and RHESSys have great promise as tools for understanding and predicting catchment scale hydrologic response, but require further testing and development (Waichler *et al.*, 2005).

Consistent with studies from around the world, annual water yields generally increased following forest harvesting in the Pacific Northwest, although water yields decreased slightly in two cases where fog drip was a significant hydrologic input. Low flows tended to become less extreme or not change after logging in coastal basins, although they became more extreme in the two cases where fog drip was important. However, increases in low flows appeared to be transient in many cases, typically persisting for only five to ten years, with some evidence of a shift to more extreme low flows in two cases. Three studies, one in the PNW, indicate that low flows are more sensitive to transpiration from riparian vegetation than from vegetation in the rest of the catchment. Few studies reported the effect of harvesting on low flows in snowmelt dominated catchments. Few studies have had a sufficiently long post-harvest period to document the effects of regenerating stands in mid-rotation to late rotation on low flows.

The effects of forest harvest on peak flows in coastal catchments appeared to diminish with both time since harvest and event magnitude in most cases. However, comparison of the pre-harvest and post-harvest regressions for Coyote Creek Catchment CC1 suggests that peak flows increased with return

period. In interior catchments, harvesting has increased snowmelt fed peak flows by up to almost 90 percent, even for cutting of only 20 percent to 30 percent of the catchment area. Although the relative magnitude of the harvesting effect diminished with return period in four cases (three in Idaho and one in Colorado), the effect increased with return period at one catchment in Idaho, based on a comparison of pre- and post-harvest regressions.

One difficulty in assessing the effect of forest harvesting on major channel disturbing events (e.g., peak flows with return periods of 50 years or more) is that few large storm events have been sampled in experimental studies, in part due to the relatively short periods of pre-treatment and post-treatment monitoring and the rarity of high magnitude events. The ability to draw generalizations regarding the effect of forest harvest on peak flows is further confounded by the great range in response that can be observed, depending on the nature of the events generating peak flows (e.g., rain versus rain-on-snow versus spring melt), catchment characteristics, details of the road and harvesting systems, and the time since harvesting. Separating effects of road building from forest harvest is particularly difficult because, in most studies, road building and harvesting occurred either simultaneously or in close succession.

Another limitation to the ability to extrapolate results from existing studies is the rather narrow range of geographic conditions represented. For example, it is unclear to what extent the results of paired catchment studies in Colorado may apply to other snowmelt dominated environments, such as the colder, subboreal zone of British Columbia's central interior, where numerous lakes and wetlands and glacial deposits play an important role in runoff generation during snowmelt. In addition, many of the studies applied treatments (e.g., road construction methods, broadcast burning) that are no longer in common use. It is unclear how newer silvicultural systems such as variable retention (e.g., Beese *et al.*, 2003) will compare in terms of their hydrologic impact.

An important issue is the practical significance of peak flow increases. For example, are increases in peak flows for relatively frequent events of significance in terms of channel morphology or aquatic ecology for headwater streams? Is it the change in peak flows that is important, or the change in the duration of flows exceeding some threshold? In at least two studies (Troendle and Olsen, 1993; Lewis *et al.*, 2001), post-harvest increases in sediment yield were attributed to harvest induced flow increases, suggesting that the hydrologic changes can be practically and not just statistically significant.

Recommendations for Further Research

Further research should continue to focus on site scale and hillslope scale processes such as snow interception and subsurface flow, with the aim of improving their representations in distributed models. The role of water exchanges between soil and bedrock, and their influence on streamflow response to forest harvesting, is an area particularly requiring further attention. The role of soil frost, while perhaps important only in high elevation and/or high latitude sites, deserves some attention.

It would be valuable to reexamine past experimental studies using fresh analytical techniques that can extract more information than those previously applied. Jones and Post (2004) have made progress in this respect, but there is likely more knowledge to be gained from further analyses. In addition, attempts should be made to apply distributed models to existing experimental catchments with a specific focus on diagnosing the causes of the wide range of observed responses.

There has been ongoing debate about the most appropriate methods for processing and analyzing the results of experimental studies (Thomas and Megahan, 1998; Beschta *et al.*, 2000; Jones and Grant, 2001). Although different methods have generated similar results in some cases, in others the interpretation of effect size is substantially different, as is the interpretation of the rate of recovery. Conclusive resolution of the most appropriate statistical technique may not be possible, but an interesting exercise would be to use a distributed model such as DHSVM or RHESSys to simulate a paired catchment experiment. In such an exercise, the treatment effect can be unambiguously identified by running the model with and without the treatment applied. Different data processing and analytical approaches could be applied to the simulated results for the control and treatment catchments to estimate the treatment effect, which could then be compared to the “true” treatment effects.

As Thomas and Megahan (1998) advocated, future studies should integrate catchment scale experiments with field studies of internal catchment processes and physically based modeling. Such studies would provide a firmer basis for explaining the nature of the treatment effect in specific cases and would also provide a rigorous test of a model’s ability to predict the effects of different forest practices. New experiments should be designed to take advantage of advances in instrumentation and analytical techniques. For example, the nested design used by Lewis *et al.* (2001), coupled with their use of maximum likelihood estimation for model fitting, allowed for more powerful and

detailed testing of hypotheses than the traditional paired catchment approach employing standard regression and analysis of covariance. Future research should also integrate studies of hydrology, geomorphology, and ecology so that the broader significance of hydrologic changes and their interactions can be established.

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