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## Forest understory plant and soil microbial response to an experimentally induced drought and heat-pulse event: the importance of maintaining the continuum

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## Abstract

Drought duration and intensity are expected to increase with global climate change. How changes in water availability and temperature affect the combined plant-soil-microorganism response remains uncertain. We excavated soil monoliths from a beech (Fagus sylvatica L.) forest, thus keeping the understory plant-microbe communities intact, imposed an extreme climate event, consisting of drought and/or a single heat-pulse event, and followed microbial community dynamics over a time period of 28 days. During the treatment, we labeled the canopy with  $^{13}CO_2$  with the goal of (i) determining the strength of plant-microbe carbon linkages under control, drought, heat and heatdrought treatments and (ii) characterizing microbial groups that are tightly linked to the plant-soil carbon continuum based on <sup>13</sup>C-labeled PLFAs. Additionally, we used 16S rRNA sequencing of bacteria from the Ah horizon to determine the short-term changes in the active microbial community. The treatments did not sever within-plant transport over the experiment, and carbon sinks belowground were still active. Based on the relative distribution of labeled carbon to roots and microbial PLFAs, we determined that soil microbes appear to have a stronger carbon sink strength during environmental stress. High-throughput sequencing of the 16S rRNA revealed multiple trajectories in microbial community shifts within the different treatments. Heat in combination with drought had a clear negative effect on microbial diversity and resulted in a distinct shift in the microbial community structure that also corresponded to the lowest level of label found in the PLFAs. Hence, the strongest changes in microbial abundances occurred in the heat-drought treatment where plants were most severely affected. Our study suggests that many of the shifts in the microbial communities that we might expect from extreme environmental stress will result from the plant-soil-microbial dynamics rather than from direct effects of drought and heat on soil microbes alone.

*Keywords:* <sup>13</sup>CO<sub>2</sub> pulse labeling, 16S rRNA next-generation sequencing, climate extremes, drought, forest understory, heat-pulse, microbial community structure, plant–soil–microbe carbon continuum, PLFAs

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## Introduction

Current and impending climate change is predicted to result in modified temperature and precipitation regimes causing potentially severe alterations of ecosystem functioning, biogeochemistry and community patterns (IPCC, 2012; Reichstein *et al.*, 2013; Bahn *et al.*,

Correspondence: Present address: Zachary Kayler, Northern Research Station, Lawrence Livermore National Laboratory, USDA Forest Service, Livermore, CA 94550, USA, tel. +1 925 423 4537, fax +1 925 423 7884, e-mail: zkayler@fs.fed.us; Andreas Ulrich, tel. +49 033432 82 345, fax: +49 033432 82 343, e-mail: ulrich@zalf.de 2014). In Europe, the frequency, duration, and intensity of droughts and heat waves are expected to increase (Schar *et al.*, 2004; Beniston *et al.*, 2007; Briffa *et al.*, 2009; Fischer & Schar, 2010) and evidence of climate change impacts on important ecosystem properties, functions and services is emerging. These include shifts in phenology (Menzel *et al.*, 2006), animal and plant species' distribution (Walther *et al.*, 2002) and primary productivity (Ciais *et al.*, 2005). But other responses, such as microbial community shifts, are not readily apparent, ostensibly due to a high level of microbial phenotypic plasticity (Merilä & Hendry, 2014), functional redundancy within soil communities (Lennon *et al.*, 2012; Griffiths & Philippot, 2013) and distinctive resistance and resilience of soil microorganisms (Shade *et al.*, 2012; Griffiths & Philippot, 2013).

Species, communities and ecosystems have revealed a strong tolerance or resistance to a wide range of environmental variation (Scheffer & Carpenter, 2003; Lennon et al., 2012; Placella et al., 2012; Manzoni et al., 2014). Accordingly, not all experiments designed to simulate climate change have resulted in a corresponding response from the community or ecosystem (Smith, 2011; Hoover et al., 2014), which is why ecological researchers have recently focused on experiments that induce extreme climate events with the goal of identifying critical thresholds and their underlying mechanisms (Reichstein et al., 2013; Kayler et al., 2015). Smith (2011) defined a climate extreme as a statistically rare event that can 'alter ecosystem structure and/or function well outside the bounds of what is considered typical or normal variability'. Research based on extreme events has already yielded insights into belowground dynamics (Evans & Wallenstein, 2014), but these experiments have largely been carried out using laboratory soil incubations (Barcenas-Moreno et al., 2009; Riah-Anglet et al., 2015), thus separating linkages between vegetation and soil microbes. Important questions remain about the relevance of the plant-soil-microorganism carbon continuum in extreme climate event scenarios, including at which point (i.e., threshold) is the plant-soil-microorganism connectivity lost? And, how will microbial communities respond when pushed to their niche limits?

Plants influence microbial communities and functions in multiple ways. Plant effects include the amelioration of the environment, such as soil temperature and moisture (Waldrop & Firestone, 2006), physiological and life strategies of plants that influence litter quality (Hobbie, 1992; Aerts, 1997; Prescott & Grayston, 2013), and carbon allocation patterns (Litton et al., 2007). Soil microbial community function, on the other hand, can regulate plant diversity-productivity patterns (Van Der Heijden et al., 2008; Schnitzer et al., 2010; Schnitzer & Klironomos, 2011), nutrient availability and cycling (Bonkowski & Roy, 2005; Wagg et al., 2014), and may even boost plant fitness to environmental stress or affect their evolution (Lau & Lennon, 2011). Whether topdown or bottom-up control is at play, important ecosystem functions result from the plant-soil-microorganism continuum (Bardgett et al., 2005; Gilliam et al., 2014), which is often severed due to changes in temperature and precipitation regimes (Evans & Wallenstein, 2014).

Drought and heat stress can impact soil microorganisms through both direct (e.g., modification of soil structure and pore connectivity in soils) and indirect effects (e.g., reduction in plant net primary productivity resulting in lower microbial C availability) (Bardgett *et al.*, 2008). Drought has a strong influence on carbon assimilation in plants, affecting stomatal and mesophyll conductance (Hommel et al., 2014), leaf biochemistry and hydraulic pathways (Flexas et al., 2006; Resco et al., 2009), as well as phloem loading which can result in a reduction in the carbon transfer from the plant canopy to the roots and to soil microorganisms (Ruehr et al., 2009). Additional to the reduction in carbon input from plants into the soil (an indirect effect of climate change), mass transfer of reduced substrates within the soil (e.g., dissolved organic carbon) to microbial communities slows (a direct effect of climate change) due to diminished pore connectivity in dry soil (Schimel & Schaeffer, 2012; Manzoni et al., 2014). The reduction in soil moisture also limits the ability of microbes to migrate to available substrates (Manzoni et al., 2014) or can alter the chemistry of the soil (e.g., acidification) affecting carbon turnover (Clark et al., 2005). A soil water potential of -14 MPa, far below the permanent wilting point for plants, has been suggested as the level at which substrate availability to microorganisms is limited by mass transfer (Manzoni et al., 2011). With linkages to plants severed resulting in a reduced supply of plant-derived assimilates, microbes can alter their physiology (Csonka, 1989; Allison et al., 2010; Crowther et al., 2014) and/or change their carbon allocation (Schimel & Schaeffer, 2012), for example, by producing extracellular enzymes or accumulating osmolytes to maintain cell integrity (Csonka, 1989; Schimel et al., 2007).

Observations and syntheses of microbial community response to climate change, including drying and warming, are emerging; however, resolving stressresponse strategies of microorganisms remains an ongoing challenge in environmental microbiology (Schimel et al., 2007; Lennon et al., 2012; Evans & Wallenstein, 2014). For example, fungi have been shown to have a high tolerance for water stress, often attributed to their ability to spatially explore the soil better for water and nutrients (Frey et al., 2008; Riah-Anglet et al., 2015). Additionally, due to their differences in cell wall structure, fungi and gram-positive bacteria (which have a thick, interlinked peptidoglycan cell wall) are considered to have wide niche breadths with respect to soil moisture ranges and a stronger tolerance to desiccation (Schimel et al., 2007; Lennon et al., 2012). Yet, given the multiple and often conflicting community changes observed with modern sequencing tools, generalizations remain elusive, although it is interesting that ecological strategies appear to be grouped at a coarse taxonomic level (phylum) (Lennon et al., 2012).

To understand how the plant-soil-microorganism continuum responds to impending climate change, including climate extremes, we need to maintain the plant-soil carbon continuum and push the plant and microbial communities beyond their current evolutionary niche boundaries (Bahn et al., 2014; Kayler et al., 2015). We excavated monoliths from a beech (F. sylvatica L.) forest in Germany, thus keeping the understory plant-microorganism communities intact, and imposed an extreme climate event, consisting of drought and/or a single heat-pulse event. During the treatment, we labeled the understory vegetation with <sup>13</sup>CO<sub>2</sub> and then followed microbial community dynamics over a short time period of 28 days. Our overarching goal was to understand how the forest understory may react to future climate change, by balancing the simplicity of a short-term extreme climate event in a semi-controlled environment with the complexity of the plant-soil-microorganism system response, focusing on plant-microorganism linkages and changes in microbial community structure. Specific aims and hypotheses of the study were as follows:

- 1 Characterize the carbon transport dynamics by studying the relative arrival events of labeled assimilates to belowground plant tissues and microbial phospholipid-derived fatty acids (PLFA), allowing the assessment of the strength of plant-microbe linkages under the different treatments. Based on the label patterns, we hypothesize (i) that the extreme temperature and heat treatments will result in the plant-soil microbial community linkage to be severed.
- <sup>2</sup> Characterize metabolically active soil microorganisms using <sup>13</sup>C-labeled isotopic PLFAs that are tightly linked to the plant–soil carbon continuum as the environmental stress increases. Implicit to the canopy labeling is the hypothesis (ii) that the drought and heat-stressed treatments will lead to a lower <sup>13</sup>C label in the PLFAs relative to those from the well-watered control and will be near absent when the carbon continuum is completely severed.
- 3 Determine the short-term changes in the community structure of the metabolically active bacteria to the stress treatments and the related changes in plant assimilate transfer belowground, using highthroughput sequencing of the 16S rRNA of bacteria from the Ah horizon of the soil. We hypothesize (iii) that if the treatments result in primarily indirect climate effects then we will observe a shift in the bacterial community that directly corresponds to the treatment effect on the understory vegetation.

#### Materials and Methods

#### Experimental strategy

We excavated 20 intact soil monoliths (50 × 50 × 20 cm,  $L \times W \times D$ ) from a beech forest understory and transported

them to a greenhouse. After acclimatization to the greenhouse conditions, the monoliths were separated into soil moisture treatments (well-watered control and drought). To ease the logistics of the experiment, we performed two isotopic labeling events separated by 14 days. During the second labeling event, outside ambient temperatures increased, resulting in a rise in the average chamber temperature and a maximum chamber temperature of 50 °C was recorded. We view this as a serendipitous event that provided us with an opportunity to test the effects of drought and drought plus strongly increased temperature (a heat-pulse) on the plant and soil microbial communities. Thus, our treatments (n = 5) are well-watered control (C), drought (D), well-watered heat-pulse (H) and heat-pulse with drought (HD).

C and H treatment monoliths were watered constantly to field capacity, whereas the D and HD monoliths did not receive any water after the treatment onset. Due to the separation of the experiment into two stages, the acclimatization time of the soil monoliths to greenhouse conditions was 11 days before drought was initiated for the first labeling consisting of the C and D treatment, while the soil monoliths of the H and HD treatment had 25 days to acclimatize before the start of the drought treatment. The experimental treatments lasted a total of 28 days for all monoliths, and the <sup>13</sup>CO<sub>2</sub> labeling was performed on day 13 after the onset of the drought treatment.

#### Monolith sampling and setup

The twenty soil monoliths were excavated with their natural understory vegetation in June from a managed beech (*F. sylvatica* L.) stand in the Hainich forest near Kammerforst, Germany (51°06′N, 10°23′E). The annual mean temperature and precipitation in our sample area are 6.5–7.5 °C and 750–800 mm, respectively. The soil types of the Hainich Forest are Luvisols and Stagnosols (Fischer *et al.*, 2010) and the monoliths were sampled within a 100 m radius, thus assuring similar general soil properties (e.g., soil parent material, forest management influences). The monolith understory contained woodruff (*Galium odoratum*), young common ash (*Fraxinus excelsior*) and wood sorrel (*Oxalis acetosella*), among others (Table S1).

In the field, the monoliths were placed in wooden boxes that were constructed with a drainage hole in the bottom. In the greenhouse, monoliths were placed underneath a shade cloth and quartz sand was used to fill in gaps along the edges between the soil and wooden box. We measured the greenhouse air temperature continuously (TAir; Kombisensor KS 550; ELV Elektronik AG, Leer, Germany), soil moisture content on all monoliths (ECH2O EC-5; Decagon Devices Inc., Pullman, WA, USA) and soil temperature ( $T_{Soil}$ ; Model 109 Temperature Probe; Campbell Scientific Inc., Logan, UT, USA) on a subset of monoliths (n = 3). The soil moisture is given in mean-% values compared to the maximum water-holding capacity (%max) for each treatment (n = 5). A light sensor (QSO-S PAR Photon Flux sensor; Decagon Devices Inc.) and a relative humidity sensor (VP-3 sensor; Decagon Devices Inc.) were installed under the shade cloth. We calculated soil pore water potential (kPa) by calibrating the soil moisture probe measure content against a pF curve (19.6 kPa). For values of pore water potential beyond the pF curve, we fit (Seki, 2007) the pressure and soil moisture values to the model of Van Genuchten (1980). Soil <sup>13</sup>CO<sub>2</sub> measurements were conducted by placing a CO<sub>2</sub> permeable membrane (8 cm, ACCUREL PP V8/2HF; Membrana GmbH, Wuppertal, Germany) vertically inside each monolith at 10 cm depth. The membrane was connected to a polyethylene tube placed vertically through the monolith. Soil gas pumped through the tubing (1 l min<sup>-1</sup>) was monitored with a <sup>13</sup>CO<sub>2</sub> cavity ring down spectrometer (Picarro G2101-i, Santa Clara, CA, USA).

### Sample collection

Soil, aboveground plant tissues (pooled samples from leaves and stems) and coarse roots were sampled at 0, 6, 12, 14, 21 and 28 days after the drought began [Drought Day (DD) 0, 6, 12, 14, 21 and 28]. Soil samples were extracted from the Ah horizon using a cork borer (Ø 5 mm). For  $\delta^{13}$ C analysis of plant organic matter, we used plant material from all six sampling events; for <sup>13</sup>C-PLFA analysis, we used soil from five sampling events (without DD 6); and for 16S rRNA-based sequencing, we used soil from 3 samplings (DD 0, 14, 28). We sampled the plant species Galium odoratum for isotopic analysis because it was the most common plant growing on the monoliths. Oxalis acetosella was sampled when Gallium odoratum was absent. For all soil and plant samples, five randomly distributed subsamples from spatially different points within the monoliths were compiled. The samples were stored at -80 °C until analysis.

## Labeling

We performed two labeling events with 10 monoliths per event. After 2 weeks of drought, the vegetation of the monoliths was pulse-labeled with <sup>13</sup>CO<sub>2</sub> on July 8 (1st event; labeling of C and D) and July 22 (2nd event; labeling of H and HD). A gastight chamber was placed over the monoliths to avoid leakage of <sup>13</sup>CO<sub>2</sub> into the atmosphere. The <sup>13</sup>CO<sub>2</sub> was produced by adding 80% H<sub>3</sub>PO<sub>4</sub> (in excess) to 99% <sup>13</sup>Cenriched sodium bicarbonate (>99.9% CO<sub>2</sub> with 99 atom-% <sup>13</sup>C; Cambridge Isotope Laboratories, Andover, MA, USA). In the second labeling event vegetation within the HD treatment wilted (pictures see Fig. S1), consequently we increased the amount of label to ensure PLFA labeling (13 g vs. 5 g used in the C and D treatment). Fans inside the roof dispersed the generated gas. The roof was removed after eight hours of <sup>13</sup>CO<sub>2</sub> fumigation.

#### Isotopic analysis

Aboveground plant tissues, roots and soil were dried for 48 h at 60 °C, then ground to a homogenous powder. The isotopic composition of the bulk plant and soil samples was analyzed at the ZALF Isotope Core Facilities by combusting 0.3–0.5 mg of the ground material in an elemental analyzer (Flash HT Elemental Analyzer; Thermo Fisher Scientific, Waltham, MA, USA) coupled to an Isotopic Ratio Mass Spectrometer (Delta V Advantage IRMS; Thermo-Scientific). The isotopic values are expressed in delta notation (in  $\%_{00}$  units), relative to VPDB (Vienna Pee Dee Belemnite) and calibration was to IAEA-CH-6 (sucrose) and USGS40 (L-glutamic acid). Analysis of internal laboratory standards ensured that the estimates of the organic isotopic values were precise to within 0.1‰.

For phospholipid fatty acid (PLFA) extraction, soil samples were freeze-dried and 1 g of dry soil was extracted with a modified one-phase Bligh/Dyer method (Frostegård *et al.*, 1991; Steger *et al.*, 2011). The lipids were then separated into different lipid classes with increasing polarity (neutral, glycoand phospholipids) using solid phase extraction with silicic acid columns (BondElut LRC-Si; Agilent Technologies Inc., Santa Clara, CA, USA). The fatty acid heneicosanoic acid (21 : 0) was added to the samples as an internal standard. The PLFA samples were dried and stored at -20 °C until analysis. Quantification and identification of PLFAs was performed on a GC (Steger *et al.*, 2011). We used standard nomenclature to refer to the PLFAs (Boschker *et al.*, 2005; Kaur *et al.*, 2005; Denef *et al.*, 2009; Steger *et al.*, 2011).

The stable carbon-isotopic composition of the individual PLFAs was determined on a Thermo-Scientific GC/C-IRMS system (Thermo Trace GC Ultra gas chromatograph coupled to a Delta V Advantage IRMS) at the UC Davis Stable Isotope Facility. PLFA  $\delta^{13}$ C data were corrected for the addition of the methyl group by mass balance and were calibrated by our own internal and external fatty acid methyl ester (FAME) standards. Stable carbon isotope ratios are reported on the VPDB scale.

The <sup>13</sup>C uptake into the microbial PLFA biomass is expressed as excess <sup>13</sup>C<sub>PLFA</sub> [ $\mu$ g C × kg<sup>-1</sup>]. The excess <sup>13</sup>C<sub>PLFA</sub> represents the total amount of <sup>13</sup>C in the microbial PLFAs per kilogram soil and is calculated as follows (Fuchslueger *et al.*, 2014):

$$\frac{(atom\%_{Sample}-atom\%_{NA}*Biomass[\mu g \ C])}{100}*1000 \ [g] \ \ (1)$$

in which atom%<sub>Sample</sub> is the atom% of the labeled PLFA sample, atom%<sub>NA</sub> is the atom% of the PLFA sample 1 day before labeling (representing natural abundance of <sup>13</sup>C), and Biomass is the PLFA biomass [ $\mu$ g C].

## RNA extraction and amplicon high-throughput sequencing

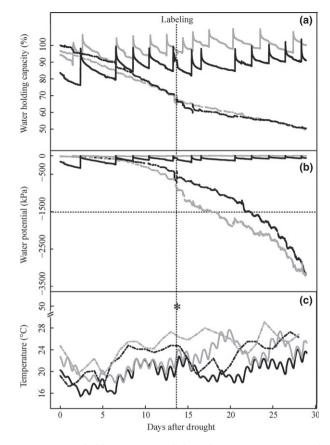
Total DNA and RNA were co-extracted from 100 mg soil (Ah horizon) (for extraction methods see Felsmann *et al.*, 2015). We used MiSeq-based (Illumina Inc., San Diego, CA, USA) high-throughput sequencing to analyze the metabolically active (RNA-based) soil bacterial communities. We amplified cDNA samples with primers 8f and Eub518 targeting the V1–V3 region of the bacterial 16S rRNA gene. At their 5' end, the reverse primers carried a specific 6–7 nt barcode and a 2 nt linker for each soil sample. The barcodes differed in at least 2 nt and were selected from those applied by Schloss *et al.* (2011). Two independent PCR reactions were performed using

AccuPrime Taq High Fidelity (Invitrogen, Carlsbad, CA, USA). Cycling conditions were an initial denaturation of 1 min at 94 °C, followed by 23 cycles of 20 s at 94 °C, 30 s at 53 °C and 90 s at 72 °C, and a final extension of 7 min at 72 °C. Combined amplicons were purified with the MSB Spin PCRapace kit (Invitek, Berlin, Germany), quantified using a Qubit fluorometer (Life Technologies, Darmstadt, Germany) and pooled to achieve a mixed sample with equimolar amounts of all PCR products. Adapter ligation and amplicon sequencing of 300-bp paired ends were carried out by GATC (Konstanz, Germany).

We used the software package Mothur v. 1.30.2 (Schloss et al., 2009) to process raw sequences. Paired sequences were used to make contigs and optimized by trimming off primer and barcode sequences (primer differences allowed, 2 bp, barcodes, 1 bp) and by removing sequences with mismatched nucleotides that differed by less than six units between the quality scores of both reads. To remove potential sequencing noise, reads differing by less than 1% of total residues were grouped by single linkage preclustering (Huse et al., 2010) and singletons were discarded as suggested in the UPARSE pipeline (Edgar, 2013). High-quality reads were aligned using the SILVA database, and chimeras were removed using the Uchime algorithm (Edgar et al., 2011). After calculation of a distance matrix, operational taxonomic units (OTU) were generated using a cutoff of 0.03. For phylogenetic identification, the sequences were compared to the RDP 16S rRNA training set 10 using a confidence threshold of 80%. To equalize the number of sequences per sample, each group of sequences was subsampled to the size of the smallest group. Sequences were deposited in the NCBI Short Read Archive (SRP059718 and SRP059783).

## Statistical analysis

Treatment differences in environmental (water-holding capacity, soil water potential, soil temperature) and isotopic values (leaves, stems, roots) were tested using a repeated measures ANOVA followed by a Tukey's HSD test. Statistical analysis was carried out in R version 2.15.1 (R Development Core Team, 2008). For the sequencing results, we used nonmetric multidimensional scaling (NMS) analysis to detect shifts in the bacterial community structure in which the relative proportion of OTUs within each sample was used as input for calculating NMS by PC-ORD v.6.08 (McCune & Mefford, 2011). We implemented the Bray-Curtis distance measure to construct the NMS, which does not overemphasize the variance of lowabundant OTUs. Stress values were in the range of 8.2% and 9.2%, indicating a reliable test performance (Clarke, 1993). We used a multi-response permutation procedure (MRPP) (Mielke & Berry, 2007) to identify significant differences in the bacterial communities over time and between treatments. MRPP reports a chance-corrected within-group agreement (A) that describes the observed within-group homogeneity to the random expectation (i.e., A = 1 when communities within a treatment are identical and A < 0 when there is less agreement within the treatments than expected by chance (McCune and Grace, 2002).



**Fig. 1** Water-holding capacity (%), soil pore water potential (kPa) and temperature (°C) during the experiment: (a) percent of the mean maximum water-holding capacity in well-watered control (C; black solid line) and heat (H; gray solid line) and nonwatered drought (D; black dotted line) and heat–drought (HD; gray dotted line); (b) soil pore water potential (kPa) during the experiment (legend as above) with horizontal dotted line indicating permanent wilting point and (c) air temperature in dotted lines [ $T_{air}$ ; daily mean value of 15 min interval measurements (°C)] and soil temperature in solid lines [hourly  $T_{soil}$  (°C)] for C and D (black) and H and HD (gray); n = 5 for each treatment. \*Indicates the maximum temperature inside the roof during the second labeling.

### Results

## Greenhouse conditions and soil water potential

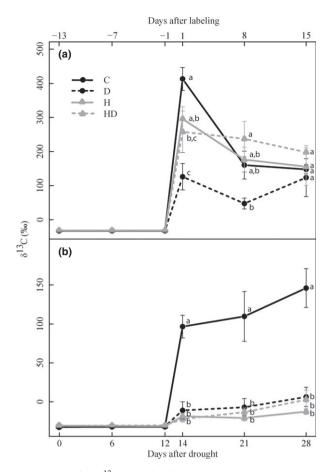
Figure 1a and b shows the soil moisture (SM) as waterholding capacity (%) and water potential ( $\psi$ ) in kPa over the experiment. At the beginning of the experiment, all treatments were at or near  $100\%_{max}$ (SM) and soil water potential was near 0 kPa, indicating that all treatments were well-watered. The C and H treatment remained at these levels throughout the duration of the experiment. In the D and HD treatments, the soil moisture and water potential gradually decreased from the beginning of the experiment and SM reached  $65\%_{max}$  at the time of labeling (after 2 weeks of drought). Permanent wilting point in D was reached after DD 22 and in the HD treatment after DD 18. By the end of the 28-day experiment, SM was reduced below  $48\%_{max}$  and  $\psi$  below -3250 kPa in both treatments.

Mean daily air temperatures  $(T_{air})$  in total varied between 17 and 31 °C during the experiment and mean daily soil temperatures (T<sub>soil</sub>) between 16 and 27 °C (Fig. 1c). During the H and HD treatment, an increased air and soil temperature was observed over time. Nine days (from 28) were significantly warmer by at least 4.6 °C (P < 0.001) when compared to the C and D treatment and 23 days had significantly warmer soil temperatures by 1 to up to 7 °C (P < 0.05 and < 0.001). During the second labeling, the temperature inside the chamber substantially increased (up to 50 °C) as the labeling was performed outside the greenhouse with ambient temperatures approaching 40 °C by midday. Therefore, we increased the available concentration of  $^{13}$ CO<sub>2</sub> to compensate for the reduction in the functional leaf area (Fig. S1) that occurred during the heat-pulse; however, given the relative similar values in label of the D and HD plant samples, we infer that plant uptake, and not the amount of <sup>13</sup>CO<sub>2</sub>, is what ultimately controlled the amount of label in the plant-soil continuum.

## Plant and soil isotopic patterns

Before labeling, mean  $\delta^{13}$ C-values ranged from -32.6%to -31.1% in leaf and stem tissues and from -32.5% to -30.3% in roots. Compared to the natural abundance levels before labeling, all treatments were significantly enriched in  ${}^{13}C$  (P < 0.05) (Fig. 2a). Comparisons across the treatments show that C and H followed the same label dynamics, while there was a significant difference between C and D initially (DD 14), and a significant difference between HD and D on DD 21 (P < 0.05). The  $\delta^{13}$ C in above ground tissue tended to decrease between the day after labeling (DD 14) and 7 days later (DD 21); values decreased by 61.2% (C), 62.2% (D), 40.4% (H) and 8% (HD), but only the decrease in the control was significant (P < 0.01). The <sup>13</sup>CO<sub>2</sub> canopy fumigation successfully labeled root biomass within all treatments (pre vs. post label, P < 0.01). However, the increase was not significant between the treatments (Fig. 2b), most likely due to the high spatial variability of the label within the monoliths. The maximum  $\delta^{13}$ C-value  $(146.33\%_{00} \pm \text{SE} 25\%_{00})$  for the roots was found in the control 15 days after labeling (DD 28).

We monitored  ${}^{13}CO_2$  isotopic composition in the labeling chamber during the labeling and in the soil gas for 5 days after labeling. The purpose of these values

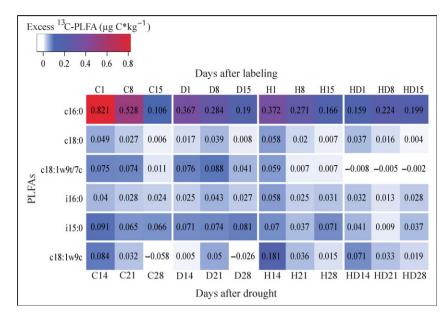


**Fig. 2** Fate of the <sup>13</sup>C label for (a) aboveground tissues (leaves and stems) and (b) roots of *Galium odoratum* in the control (C), drought (D), heat-pulse (H) and heat-pulse with drought (HD) treatments as  $\delta^{13}$ C values (%) during the experiment – with the upper *x*-axis showing days before (negative values) and days after labeling (positive values) and the lower *x*-axis showing days after the onset of the drought treatment. Values are means ± SE (*n* = 5). Significances are indicated separately for each time point.

was primarily to assess the arrival of label rather than to quantify fluxes. In general, we could detect a pulse of labeled carbon present in soil  $^{13}CO_2$  after 1 day in C and after 2 days in HD (Fig. S2). We could not determine the soil  $^{13}CO_2$  dynamics during the 8-h labeling or directly after labeling so we cannot account for changes during this time. However, changes in isotopic composition of soil organic carbon in response to the  $^{13}C$  labeling could not be observed over time or between the treatments (data not shown).

## *Effects of drought and/or heat on soil microbial groups and linkage to the plant–soil carbon continuum*

In general, label was incorporated into microbial and fungal PLFAs indicated by <sup>13</sup>C excess values greater



**Fig. 3** Transfer of <sup>13</sup>C label to PLFAs. The heatmap shows the excess <sup>13</sup>C-PLFA values ( $\mu$ g C × kg<sup>-1</sup>) during the experiment for control (C) and treatments (D, H, HD) for different PLFAs. The upper *x*-axis displays days after labeling and the lower *x*-axis days after the onset of the drought treatment. Values are means (*n* = 5).

than 0 (Fig. 3). For microbial PLFAs, the HD treatment had the largest negative impact indicated by the least <sup>13</sup>C excess values. Fungal PLFAs (c18 :  $1\omega$ 9c) performed well in H, incorporating more label, when compared to the C and D treatment.

We analyzed 16 PLFAs to evaluate different groups of microorganisms (see Table S2 for a list of used PLFAs). The remaining PLFAs that had been extracted did not yield sufficient material for analysis (i.e., they were below the detection limit).

We chose PLFAs from each marker group except *Actinomycetes* and expressed the calculated excess <sup>13</sup>C-PLFA values in a heatmap (Fig. 3). High <sup>13</sup>C excess values for general PLFA markers were found in c16 : 0, c18 : 0 and c18 :  $1\omega$ 9t/7c with c16 : 0 having the highest values with a maximum of  $0.821 \pm \text{SE } 0.189 \ \mu\text{g C} \times \text{kg}^{-1}$  1 day after labeling (DD 14) in the control. There was a significant difference between one and 15 days after labeling (DD 14 and 28) for the general bacterial biomarker c16 : 0 (*P* < 0.01) when considering all the treatments (Fig. 3). H and HD (but not D) were significantly different to C (*P* < 0.05 and 0.001, respectively).

There were no significant differences in time and/or treatment for the PLFA marker of gram-positive bacteria (i16 : 0), but the excess values were positive, indicating that <sup>13</sup>C was incorporated. The PLFA marker i15 : 0 (which represents heterotrophic bacteria) had the same significant differences between treatments as c18 :  $1\omega$ 9t/7c with HD being significantly different to C and D (*P* < 0.05). The fungal PLFA c18 :  $1\omega$ 9c

showed higher values in H which was significantly different from C as well as D (P < 0.05), and this marker showed a significant decrease over time when considering all treatments.

# *Effects of drought and/or heat on the bacterial community structure*

We used the MiSeq-based sequencing of the V1–V3 region of the 16S rRNA gene to analyze the metabolically active (RNA-based) bacterial communities from five replicates per treatment. In total, 8 209 608 highquality full-length reads were obtained. All groups of sequences were subsampled to 42 368 reads each, which was the size of the smallest sample. The total number of OTUs was 23 709 per sample, and the number of OTUs ranged from 2748 to 4642. Based on the 42 368 16S rRNA sequences per sample, a reasonable coverage of 96.6%, the mean for all samples, was achieved.

The inverse Simpson (1/D) diversity index was used to evaluate changes in bacterial diversity (Table 1) within treatments. The diversity did not change significantly within C, and we also observed only negligible changes in H and D. Similarly, species richness (number of OTUs) remained unchanged within control and treatments (Table 1). However, diversity in HD significantly decreased over time (P < 0.05).

An NMS-based ordination for the identified OTUs was used to visualize the variability in the bacterial community and the differences in community structure

Ŭ	Control (C)			Drought (D)			Heat (H)			Heat-drought (HD)	(HD)	
Treatment – Drought day 0		14	28	0	14	28	0	14	28	0	14	28
OTU richness $4038 \pm 142^{a}$ $4097 \pm 167^{a}$ $4065 \pm 166^{a}$ InvSimpson $165.3 \pm 13.2^{a}$ $140.4 \pm 8.8^{a}$ $145.5 \pm 4.7^{a}$	$1038 \pm 142^{a}$ $55.3 \pm 13.2^{a}$	$4097 \pm 167^{\mathrm{a}}$ $140.4 \pm 8.8^{\mathrm{a}}$	$4065 \pm 166^{a}$ $145.5 \pm 4.7^{a}$	$3702 \pm 198^{a,b}$ $130.3 \pm 7.2^{a,b}$	$3702 \pm 198^{a,b}  3759 \pm 149^{a,b}$ $130.3 \pm 7.2^{a,b}  109.7 \pm 5.7^{a}$	$\begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$	$3635 \pm 57^{\rm c}$ $103.3 \pm 4.2^{\rm b}$	$3328 \pm 170^{\circ}$ $131.3 \pm 18.0^{a}$	$3243 \pm 130^{c}$ 92.2 $\pm 4.7^{b,c}$	$3479 \pm 95^{b,c}$ $104.8 \pm 7.4^{b}$	$3479 \pm 95^{b,c}  3432 \pm 142^{b,c}  3756 \pm 157^{b,c}$ $104.8 \pm 7.4^{b}  56.6 \pm 6.4^{b,*}  56.1 \pm 4.3^{b,s}$	$3756 \pm 157^{b,c}$ $56.1 \pm 4.3^{b,*}$

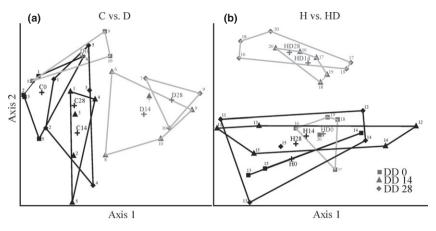
**Table 1** Richness and diversity (mean  $\pm$  SE) of OTUs based on 16S rRNA sequences for treatments at DD 0, 14 and 28 (n = 5). The diversity index is the inverse Simpson

<sup>t</sup>Indicates significant differences between sample collection.

between the treatments (Fig. 4). D and C as well as H and HD had a similar community structure at DD 0. The community composition changed over time in C, most likely due to sampling during the tail end of the acclimation period exemplified by the relative low Avalue (Table 2). The bacterial community composition in H remained unchanged, but we found a distinct shift between DD 0 vs. DD 14 and DD 28 for D and HD, with a strong initial community shift for the latter treatment. MRPP analysis further supports a clustering that distinguishes between the well-watered and drought treatments (Table 2). Thus, we found a similar trend of the community shifting between D and HD even though HD obviously had a stronger effect on the community. Remarkably, apart from the two shifts in the D and HD treatment, the bacterial community structure displayed a high tolerance.

Variability within the C and H treatment was largely due to the different monoliths. The community structure of a single monolith often clustered closely together over time; this was especially prominent for the H treatment. Thus, the community structure of monoliths 11-15 was comparable over the whole period. Even in the HD treatment where a strong shift occurred between DD 0 and DD 14, the community structure was again similar for the single monoliths 16-20 at day DD 14 and DD 28 (Fig. 4).

The analysis of phylotypes showed a high phylogenetic diversity with a total of 22 phyla. Dominant phyla were Proteobacteria (46%), Actinobacteria (18.4%), Planctomycetes (12.4%) and Acidobacteria (10.8%). We observed an increase in Proteobacteria in the D and HD treatment, while Planctomycetes decreased. Actinobacteria decreased only in HD. The phylotypes were analyzed to reveal taxonomic groups, which intensively responded to the treatments. In total, 31 phylotypes with a relative abundance of more than 0.1% of the bacterial community could be detected that were increased or decreased by more than 50% over time (Fig. 5). The phylotypes could be grouped based on their response to the treatments (decrease and increase in relative abundance which can be seen in the hierarchical clustering) in correspondence to their taxonomic assignment. As already seen in Fig. 5, we could detect a clear trend between bacterial phyla. Phylotypes belonging to the  $\alpha$ -,  $\beta$ - and  $\gamma$ -Proteobacteria, Verrucomicrobia and Firmicutes increased in relative abundance in the D and HD treatment. Actino- and Acidobacteria groups decreased only under HD and Planctomycetes decreased in both, D and HD. The response of these phylotypes to D and HD was consistent; thus, this response-characteristic seems to be phylogenetically highly conserved.



**Fig. 4** Effects of drought, a heat-pulse and heat-pulse with drought on the soil bacterial community structure. NMS ordination plots of the bacterial community structure at three different time points (DD 0, 14 and 28) for (a) control (C, black lines) vs. drought (D, gray lines) and (b) heat (H, black lines) vs. heat–drought (HD, gray lines). Numbers at points indicate different monoliths from which samples were taken; centroids are indicated by +.

**Table 2** Significance test (MRPP) of the effect of drought, a heat-pulse and a heat-pulse with drought on the bacterial community structure. *A*- and *P*-values for control (C) and treatments (D, H, HD) from the comparison of different time points (DD 0, 14 and 28) are given. Bold numbers indicate a significant *A*-value

	Α	<i>P</i> -value
C0 : C14	0.13	0.021
C0 : C28	0.05	0.181
C14 : C28	-0.04	0.667
D0 : D14	0.26	0.007
D0 : D28	0.32	0.029
D14 : D28	0.01	0.391
H0 : H14	-0.06	0.671
H0 : H28	-0.06	0.626
H14 : H28	-0.08	0.988
HD0 : HD14	0.34	0.002
HD0 : HD28	0.32	0.004
HD14 : HD28	0.06	0.148

## Discussion

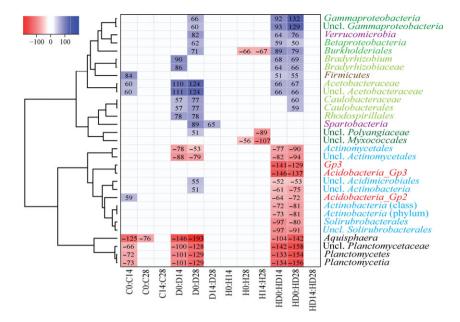
We designed our experiment to capture the plant–soil carbon continuum and soil microbial community responses to drought and temperature extremes. We imposed a month-long drought in which no water was supplied, and a heat-pulse (at DD 13) that lasted 8 h with maximum air temperatures of approximately 50 °C. The soil moisture stress we induced exceeded even the strong drought conditions for this part of Germany (Gimbel *et al.*, 2015). Soil water potential decreased far below the permanent wilting point, a plant physiological threshold, and below the point at which carbon substrate diffusion halts in soils, a micro-

bial environmental limitation (Manzoni *et al.*, 2011). The heat-pulse temperature was short though extreme, inducing wilting and foliar damage (Fig. S1) and may approach the temperature range of recent heat waves in Europe (Berard *et al.*, 2011).

Importantly, both the plant-soil carbon continuum and the microbial communities from soil monoliths exposed to drought (D) and a heat-pulse with drought (HD) changed significantly, thus meeting the requirement of Smith (2011) that an extreme climate event should result in a shift in ecosystem biological characteristic. Overall, we found that our treatments did not completely sever the linkages between plants and soil; however, contrasting  $\delta^{13}$ C patterns between above- and belowground tissues as well as the <sup>13</sup>C in PLFAs suggest strong alterations in the linkage between photosynthesis and belowground processes among the treatments. The microbial community dynamics analyzed by PLFAs and high-throughput sequencing of the 16S rRNA were most strongly affected by the combination of a heat-pulse and drought (HD).

## Plant-soil carbon continuum

We <sup>13</sup>C labeled new plant assimilates and tracked the label through the plant–soil–microorganism continuum as a proxy for the strength in coupling between aboveground plant tissues and belowground communities. As the source of labeled carbon, leaf uptake reflects the initial impact of the treatments on plants and their potential to deliver recent assimilates belowground. All treatments showed a strong <sup>13</sup>C increase in leaves 1 day after labeling (DD 14), indicating that photosyn-thesis was still functional despite the water and heat



**Fig. 5** Phylotypes with abundance shifts in control (C) and treatments (H, D, HD) between three different time points (DD 0, 14, 28). Shown groups had a relative abundance of more than 0.1% of the bacterial community and increased or decreased by more than 50% over time. Values are given in % increase (blue) or decrease (red) as means. Light green to dark green = *Alpha*- to *Deltaproteobacteria*, violet = *Verrucomicrobia*, brown = *Firmicutes*, blue = *Actinobacteria*, red = *Acidobacteria*, black = *Planctomycetes*.

stress. This stress was exemplified by the significant differences between the C and D treatments. Plants experiencing drought can place a priority on maintaining hydraulic functioning (Hartmann *et al.*, 2013; Sevanto *et al.*, 2014), for example, by closing their stomata, which then leads to reduced carbon assimilation. A consequence of drought can also be decreased phloem loading and lower phloem transport velocity (Ruehr *et al.*, 2009).

The corresponding belowground patterns were different than those aboveground. The pulse of labeled carbon was present in soil  $CO_2$  after 1 day in C and after 2 days in HD (Fig. S2). Based on the pattern in the HD treatment, we can infer that plants were under water stress resulting in a delay of delivery of new assimilates used for root and rhizosphere respiration (Ruehr *et al.*, 2009; Burri *et al.*, 2014). However, in all treatments, root tissue tended to increase in <sup>13</sup>C over time, indicating that labeled carbon had been allocated belowground.

The carbon continuum remained intact, although weakened, and microbial communities took up labeled carbon as well, but differences in PLFA label amount between treatments attest to their effects on the linkage between plants and soil microbial communities, thus confirming hypothesis 2. Based on the response in the control monoliths alone, there is clear indication that the potential for carbon delivery to soil microbes is high, despite sampling at a spatial scale larger than the rhizosphere. When we consider the excess patterns of the general PLFA marker c16 : 0, which was the most enriched across all treatments, we can see that within the drought treatments the HD monoliths were more severely negatively affected.

Thus, to address Hypothesis 1, based on the arrival of label in all plant tissues and representative PLFAs, we can assert that none of the treatments were too severe to totally disrupt within-plant transport over the experiment (despite wilting in D and HD), and the depletion of the aboveground signal along with the concurrent enrichment of the belowground root tissue suggests that carbon sinks belowground were still active (Koerner, 2011; Hasibeder et al., 2015). However, the stress treatments severely impaired the carbon continuum, which is readily apparent when comparing the root label patterns of the control to the stress treatments. Ultimately, the amount of label may not signify the ecological relevance of the severely impacted C continuum we observed; the relevance, rather, lies in the degree to which the microbial community was affected.

By linking the root and the PLFA label dynamics, we can detect a possible change in assimilate allocation patterns. The PLFA <sup>13</sup>C excess was generally higher in the control than in the treatments based on absolute values [e.g., c16 : 0 1 day after labeling (DD 14)], but the picture changes when the PLFA label is compared to the <sup>13</sup>C enrichment in roots (Table 3). The <sup>13</sup>C label in roots was 6.2 times higher in C compared to D 1 day

**Table 3** Relative level of <sup>13</sup>C incorporation between carbon pools (roots and the general PLFA marker c16 : 0) 1 day after labeling between experimental treatments. A more equitable ratio between the compared treatments indicates the 'potential' of available carbon reaching belowground pools; in all cases, the PLFA received the largest portion of labeled carbon

C-pool	C : D	С:Н	C : HD
Roots	6.2	9.8	14.8
c16 : 0 PLFA	2.2	2.2	5.1

after labeling, in comparison, the c16:0 PLFA of C exceeded D by only a factor of approx. 2.2. Similar relations were found for the H and HD treatments (Table 3). This clearly indicates that a relatively higher proportion of new assimilates arriving in roots was transferred to soil microorganisms in the water- and heat-stressed treatments.

Based on these PLFA and root patterns, we infer two pathways of carbon flow to belowground microbial communities. The first pathway is evidenced from the strong increase of label in the plant organs and <sup>13</sup>C excess of PLFAs directly after labeling during nonstressed conditions, while the second pathway is apparent during environmental stress, in which the microbial pool received a higher relative proportion of labeled carbon. This may be indicative of different sink strengths or sink priorities of the carbon pool that different microbial communities (e.g., mycorrhizae) are associated with. A similar finding was observed by Hasibeder et al. (2015), who found that under control conditions, recent assimilates were directed to root respiration, while root respiration from plants under stress was fueled by stored carbon, possibly allocating recent assimilates to alternative belowground sinks. While assimilates may be used for root respiration under well-watered conditions or osmotic adjustment during the onset of water-stressed conditions, our results suggest that the microbial belowground community may exert a stronger sink strength during drought in which soil moisture levels exceed the plant wilting point.

## Microbial community structure

Overall, we found the dominant taxonomic groups in our treatments were similar to other studies on forest soil (Dimitriu & Grayston, 2010; Sun *et al.*, 2014; Felsmann *et al.*, 2015), consisting of *Proteobacteria*, *Actinobacteria*, *Planctomycetes* and *Acidobacteria*. However, based on our high-throughput sequencing of the 16S rRNA, we observed multiple trajectories in the community shifts due to the stress treatments. *Planctomycetes* had the strongest negative response in our experiment (strong changes in abundance resulting in decreases under D and HD). The phylum *Proteobacteria* performed best under the treatments with increases in relative abundance in D and HD, possibly due to a generally high soil organic carbon availability (Fierer *et al.*, 2007; Sun *et al.*, 2014) in our forest soil. The H treatment alone had no detectable effect, D had a relatively small effect, but the HD treatment had the strongest effect on the bacterial community structure with a decrease in bacterial diversity. Furthermore, most of the changes in the bacterial community occurred within the first 2 weeks of treatment, indicating that an environmental threshold might have been reached for the bacterial community.

Our findings suggest that microbial communities can tolerate a heat-pulse alone. We did not observe a significant shift in the OTU richness, diversity and community structure or changes in relative abundance of the phylotypes in Castro et al. (2010) found that warming does not always lead to predictable or significant changes in bacterial and fungal abundance or community structure, while Schindlbacher et al. (2011) suggest that heat affects major groups of soil microbial communities only when other limitations are present (e.g., water or nutrient limitation). Over a long time period of selection and evolution, microorganisms have adapted to tolerate and survive stress through a variety of different strategies (Schimel et al., 2007; Wallenstein & Hall, 2012; Barnard et al., 2013; Griffiths & Philippot, 2013). Thus, our heat-pulse was not strong enough to see an immediate effect. This is congruent to the high tolerance that we found for the microbial community in our soils; however, even though we did not observe a change in the bacterial community structure in the H treatment, a delayed stress response is still possible (e.g., through large shifts in the allocation of C and N) (Schimel et al., 2007).

The bacterial communities were more or less tolerant to the D treatment, contradicting our original expectation, and we found only minor changes in the community structure relative to the control. Furthermore, we were not able to detect significant differences in richness and diversity of the bacterial communities within this treatment. Over the course of the experiment, we found that the relative abundance of the phylum Proteobacteria increased and Planctomycetes decreased, but we observed a similar though lower trend in C. We infer from these patterns that species that performed poorly under drought (e.g., bacteria from the phylum of *Planctomycetes*) were out-competed by more tolerant species (e.g., from the phylum of Proteobacteria), and thus, a diversity or species richness change was not observed within the shifting community. There was also a slight yet significant increase in the Actinobacteria relative abundance in D. Numerous members of

Actinobacteria are known to compete well under dry conditions (Barnard *et al.*, 2013; Felsmann *et al.*, 2015). Filamentous (mycelium-forming) Actinobacteria use this growth form to facilitate growth and expansion under conditions of low hydraulic connectivity (drought conditions) in unsaturated soils (Wolf *et al.*, 2013), which could be an explanation for stimulated growth under moderate drought conditions.

The mixed response of bacterial communities to drought in our experiment clearly reflects the different physiological strategies (often accompanied by a change in community composition) microorganisms have developed to cope with drought stress. Physiological strategies for drought include production of protective molecules, dormancy or higher carbon use efficiency (Schimel et al., 2007). Soil microorganisms also have on average a relatively dry optimum (-320 kPa) and are capable of respiring even under lower water potentials (-2000 MPa) displaying a broad range of moisture tolerance (Lennon et al., 2012). However, our study imposed extreme environmental conditions carried out over several weeks and thus only gives a short-term perspective of microbial community shifts. Over the long term, microbial community shifts may also be driven by ecosystem feedbacks to drought, such as changes in soil C/N ratio, pH and nitrogen input (Evans et al., 2013).

The strongest change in the active microbial community was in the HD treatment, which had a clear negative effect on diversity (only in HD did we see a significant decrease in diversity) and resulted in a distinct shift in the community structure and changes in relative abundance of many phylotypes. In the HD treatment, Planctomycetes, Actinobacteria and Acidobacteria were the phylotypes most affected and had the largest decrease in relative abundances. Interestingly, many of the starkest changes in abundances occurred in HD in which the plant community suffered the most; furthermore, these changes did not occur in C, D or H. We infer from this finding that the indirect effects of the treatment on the microbial pattern resulted in the largest community shift, confirming hypothesis 3; however, our experimental design excludes the possibility to test solely for direct effects of the treatment on the microbial communities. This pattern also corresponds clearly to the low level of label in the <sup>13</sup>C PLFAs we found in HD compared to the other treatments. Thus, our data suggest that Actinobacteria and members of Acidobacteria are more tightly linked to the fate of plants and their carbon delivery during environmental stress (i.e., the indirect climate change effect). Furthermore, the corresponding increase in relative abundance of the Alpha- and Gammaprotebacteria suggests that members within these phyla were able to take advantage of the altered belowground conditions that occurred with the plant stress response (e.g., reduced carbon transfer belowground).

Our results reinforce current observations of a diverse microbial response to environmental stress in which members of various phyla exhibit optima during moderate-to-dry moisture conditions (Lennon et al., 2012; Barnard et al., 2013). Given that a subset of phyla responded similarly (i.e., in trajectory but not magnitude) in D and HD, we can conclude that the resistance mechanisms under the direct climate change effects of drought and increased temperature are phylogenetically highly conserved. In the same breath, our understanding of the microbial response to environmental stress has also expanded. Our study exemplifies that many of the shifts in the microbial communities that we might expect from climate change will result from the plant-soil-microbial dynamics rather than from direct effects on soil microbes alone. In particular, the plant's role in carbon delivery belowground is critical for some phyla, and as our data suggest, these microbes may maintain belowground pools as a carbon sink priority even for stressed plants. The plant-soil-microorganism relationship is fundamental to terrestrial ecosystems globally, and advances in understanding or predicting balances of energy and nutrient fluxes or alterations of microbial diversity under global climate change will clearly depend on our ability to accurately characterize this relationship.

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## **Supporting Information**

Additional Supporting Information may be found in the online version of this article:

 
 Table S1. Information on plant species found on the monoliths.

**Table S2.** Phospholipid fatty acids (PLFAs) that were used as markers for certain groups of microorganisms in the experiment.

**Figure S1.** Pictures of heat-pulse with drought (HD) monoliths.

**Figure S2.**  $\delta^{13}$ CO<sub>2</sub> values (‰) inside the chamber during labeling (inset graph) and gas within the soil for five days after labeling.